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APPENDIX JPRINCIPLES OF UKUSA COLLABORATION WITH COMMONWEALTH COUNTRIES  
OTHER THAN THE UKIntroduction

1. This Appendix records the general principles governing UKUSA COMINT collaboration with Commonwealth countries (other than the UK).

General

2. Commonwealth countries other than the UK are not parties to the UKUSA COMINT Agreement but they will not be regarded as Third Parties. Canada, Australia and New Zealand with whom there are special agreements on COMINT policy are referred to as collaborating countries.

3. LSIB will keep USIB informed of any arrangements or proposed arrangements with Commonwealth countries and will obtain the views of USIB prior to initiating or pursuing with any non-collaborating country COMINT arrangements involving the release of material or the provision of guidance in accordance with paragraph 9 of Appendix P. Prior to the release to a non-collaborating country of data as described in subparagraph 8(e) of Appendix P, GCHQ will obtain the view of NSA regarding the suitability of the data for release.

4. Arrangements for collaboration between the U.S. and the joint Australian/UK/New Zealand agency, Defence Signals Branch Melbourne, and for U.S. liaison in Australia and New Zealand are set out in Annexure J1. Any major changes in or additions to these arrangements for U.S. collaboration with Australia and New Zealand will be the subject of prior consultation between USIB and LSIB.

5. USIB will obtain the views of LSIB prior to completing an arrangement with Canada.

6. USIB will conduct its arrangements with all other Commonwealth countries in accordance with the principles set out in Appendix P to the UKUSA COMINT Agreement.

7. It is noted that LSIB has obtained from the COMINT authorities of collaborating Commonwealth countries formal assurances that they will abide by the terms of paragraphs 5, 8, and 9 of the UKUSA COMINT Agreement, and of Appendix B and paragraph 7 of Appendix E thereto.

Approved for Release by NSA on 04-04-2018,  
FOIA Case #100386 (Litigation)

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8. USIB and LSIB agree:

- (a) not to pass to any Commonwealth country COMINT end-product items originated by agencies of the other party without the consent of that party, except as may be agreed from time to time;
- (b) to pass to collaborating Commonwealth countries, via agreed COMINT channels, only such technical COMINT materials as are deemed to be relevant to the tasks of the Commonwealth country concerned or as may be otherwise agreed between the two parties from time to time; the relevance of technical COMINT materials to the tasks of those Commonwealth countries shall be determined by the Director, GCHQ or the Director, NSA; relevant materials shall then be releasable subject to whatever restrictions may be specified by the agency which produced the material (i.e. GCHQ or NSA).

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