MEMORANDUM FOR THE MEMBERS OF USCIB:

Subject: Revision of Appendix "J" to the UKUSA Agreement.

References: (a) USCIB 10./14 of 14 July 1954.
(b) USCIB 10./15 of 16 July 1954.
(c) USCIB 10./16 of 21 July 1954.

1. The enclosed memorandum from the Secretary, LSIB forwarding for USCIB approval a copy of a draft new Appendix "J" to the UKUSA Agreement, agreed between the Directors, NSA and GCHQ, is circulated herewith for vote sheet action. The papers mentioned by the Secretary, LSIB are reviewed in reference (a). In connection with paragraph 3c of reference (a) an up-to-date draft copy of IRSIG is now on file in the office of the Executive Secretary, USCIB and is considered to meet USCIB security requirements.

2. Attention is invited to paragraphs 6, 7 and 8 of the enclosed memorandum. In this connection, the policy outlined in references (b) and (c) would appear to provide an adequate basis for an affirmative reply.

3. It is requested that the attached vote sheet be executed and returned to this office by the close of business 6 May 1955.

RUFUS L. TAYLOR
Captain, U.S. Navy
Executive Secretary, USCIB

Enclosure
LSIB/121/55 dtd 18 Apr 1955.
Executive Secretary,  
United States Communications Intelligence Board.  

REVOLUTION OF APPENDIX J TO THE UKUSA AGREEMENT

In signifying U.S.C.I.B.'s ratification of the final papers of the Melbourne Tripartite Conference held in September 1953, Director N.S.A. in his Serial 000178 of 12th January, 1954, stated that U.S.C.I.B. envisaged a "course of action whereby UKUSA documents would be revised to incorporate within an appendix, or appendices, statements of the desired tripartite relationship". In acknowledging U.S.C.I.B.'s formal notice of ratification (CIB #000255 of 18th December, 1953) Secretary L.S.I.B., in LSIB/46/54 of 1st February, 1954, promised to communicate further with U.S.C.I.B. regarding implementation of this policy by means of a revision of Appendix J to the UKUSA Agreement. Since that time there has been correspondence on this subject between Directors N.S.A. and G.C.H.Q., resulting in their agreement on a draft revision of Appendix J, to include general principles of UKUSA

2. L.S.I.B. has approved the draft Appendix J and Annexure JL as agreed between Directors N.S.A. and G.C.H.Q., and a copy is forwarded herewith for the consideration of U.S.C.I.B.

3. It is suggested that, when agreement has been reached between U.S.C.I.B. and L.S.I.B. on Appendix J and Annexure JL, the authorities should be invited by L.S.I.B. to subscribe to Annexure JL only, but should be advised of the contents of Appendix J. The concurrence of U.S.C.I.B. in this procedure is invited.

4. As regards paragraph 8 of the draft Annexure JL, it is intended by L.S.I.B. that the

5. The comments of U.S.C.I.B. on the foregoing will be appreciated.

6. L.S.I.B. would also like to draw the attention of U.S.C.I.B. to an aspect of

EO 3.3(h)(2)
PL 86-36/50 USC 3605

Secretary,
Sigint Board.

PL 86-36/50 USC 3605

LSIB/121/55.
PRINCIPLES OF UKUSA

INTRODUCTION

1. This Appendix records the general principles governing UKUSA Comint collaboration with and certain agreements that have been made on Comint policy affecting those countries. For convenience and clarity, certain of the provisions of the U.K.-U.S. Communication Intelligence Agreement, 1946, are incorporated (in paragraphs 2 to 6 below).

GENERAL

2. While

3. L.S.I.B. will, however, keep U.S.C.I.B. informed of any arrangements or proposed arrangements with other Commonwealth agencies.

4. U.S.C.I.B. will make no arrangements with any Commonwealth agency, other than except through or with the prior approval of L.S.I.B.

5. As regards U.S.C.I.B. will complete no arrangements with any agency therein without first obtaining the views of L.S.I.B.

6. It will be conditional on any Commonwealth agencies with whom collaboration takes place that they abide by the terms of paras. 5, 8 and 9 of the U.K.-U.S. Comint agreement and by the arrangements laid down in para. 7 thereof.

ARRANGEMENTS WITH UKUSA-COLLABORATING COMMONWEALTH COUNTRIES

7. At this time only in interpretation of para. 3 above L.S.I.B. will not initiate or pursue Comint arrangements with Commonwealth
countries other than [redacted] (with each of which the L.S.I.B. already has such arrangements) without first obtaining the views of U.S.C.I.B.


(a) not to pass to a collaborating Commonwealth country Comint items originated by agencies of the other party without the consent of that party, except as may be agreed from time to time;

(b) [redacted]

Agreed arrangements affecting [redacted] are contained in Annexure J1 hereto.
6. The direct collaboration and consequent exchanges between N.S.A. and D.S.B. will be regulated by the provisions of the following appendices to the UKUSA agreement; C, D, E, F, G, H, I, L, M.

7. It is noted that, in interpretation of Appendix I to the UKUSA agreement, N.S.A. has accredited liaison officers to D.S.B. and that D.S.B. will accredit a liaison officer or officers to N.S.A. when it is in a position to do so.

8. It is further noted that, in interpretation of Appendix I to the UKUSA agreement, U.S.C.I.B. will possibly decide at some future date to modify the terms of reference for the senior liaison officer now accredited to D.S.B., whereby he will be the senior U.S. representative for conducting liaison with Australia and New Zealand and, as may be agreed by L.S.I.B., with U.K. officials in those countries, on matters pertaining to Comint.