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Selected SIGINT Intelligence Highlights

Address to the C.A.A., 8 May 1974

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I have to note before I begin that my selection of items is somewhat like dealer's choice, and if you had someone else stand up here who has had roughly the same time span that I have had, I am sure that he would not pick the same items that I am going to select. I am not going to say too much about World War II because a lot of us have good ideas of the contributions made by SIGINT in that war, either from the press or have had personal experience, or have talked with people who were there firsthand.

You know about the battle of Midway; you know about the Pacific Campaign, both of the Navy and the Army; you are familiar with things like the shoot-down of Yamamoto, made possible by the information that we were able to provide. The "we" means the Army Security Agency, the Navy Security Group, and also the units which had Australian membership working on these problems. SIGINT was very important in the Pacific; for example, we were able to give with the same accuracy as the Japanese had the noon positions of the various convoys that were bringing material from the Indies and from various of the occupied territories the Japanese had taken over. Naturally this somewhat facilitated the submarine warfare. Generally, it was quite a success story.

On the other side of the world in the Atlantic, as you well know, there was complete sharing of efforts and results with the British. I think one point that is not always appreciated in connection with the War in the Atlantic is that much of the information, both before and after we were reading the German naval machines, was used to divert the convoys and control their movements. Until the very end of the war we were not often in a position to go out and sink the submarines that were lining up in their so-called wolfpack tactics, but we were able to spot this line-up even before we were doing any decryption by using results from HF/DF. That was the first assignment I had in this business, being in charge of a D/F watch. What we would do was note the accumulation of fixes in an area and relate them to a convoy that happened to be, perhaps, headed for or already in that area. A decision would then be taken at the War Room level in the Navy Department to divert the convoy, almost never to throw a half dozen unavailable destroyers in there and hope to depth-charge the subs out of the water.

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HIGHLIGHTS

A second, tremendous benefit when we were reading was that of being able to tell where the "cows" were, the submarine tankers used to fuel the German subs. A deliberate effort was then made each time to get a carrier into the vicinity to provide an airborne source of radar, or a destroyer, although it was less plausible to have a destroyer there. We would then often be able to sink the "cow," and that did shorten the time on station considerably for the submarines that were dependent on refueling. These aspects of the War in the Atlantic are some times glossed over.

All of you are familiar, of course, with the tremendous amount of information that was provided in the Battle of Britain by SIGINT, and it has correctly been said that Mr. Churchill's statement, "Never have so many owed so much to so few," really meant two different "fews," only one of whom, of course, could be given public recognition. One "few" was the pilots in the RAF, and the other "few" was the cryptanalysts who made it possible for those pilots to be grouped and on the alert so as to be able to be where the radar would indicate German planes. With propeller-driven planes of limited numbers, the RAF had to move and focus its fighters hours before the radar showed pips of an approaching raid. The radar provided a very effective cover for the SIGINT used in the air war and was vital immediately before and during combat, but was given more credit by the Germans than was actually warranted.

As you know, the success against the ENIGMA up to now, or almost to this date, has been rather well kept as a secret, but just recently there have been threats of many and actually one book in print. They don't give many technical details yet, fortunately, but Bertrand's book, ENIGMA, the ENIGME, which is the ENIGMA twice in the title, indicates that there was a cryptanalytic success against the ENIGMA, and that the French continued some work even after Vichy.¹ This book will probably be translated into English, and I would caution all of us that it's still "No comment."

There were some failures too in this business, and all of us know some of them. Our usage of Hagelin in World War II was abominable, and the Cermans profited greatly from it. Our misuse of SIGINT in the Battle of the Bulge resulted in thousands of deaths. And on the other side of the world we really geared up quite an invasion of Kiska, perhaps you remember, and when we landed in Kiska—one of my friends was in the landing party—we couldn't even find any good sou-

'See NSA Technical Journal, XIX, 3 (Summer 1974) for Edwin S. Spiegelthal's "The Cryptologista Who (Briefly) Went Back Into the Cold," a book review of Gustave Bertrand, ENIGMA, ou la plus grande énigme de la guerre 1939-1945 and the related book by Michel Gardner, La guerre secrète des services speciaux français (1935-1945).—Ed.

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venira, much less any Japanese. It has been also said, and I am somewhat inclined to agree, that had we had good traffic analysis, we perhaps would never have had Pearl Harbor, because a very accurate traffic analysis of the movements of all of the fighting units and the supply train connected with them might very well have given considerable pause to the theory that any attack by the Japanese was going to be made south rather than towards Pearl Harbor.

In any event, enough about World War II. I'd like to talk about post-World War II. And first of all, I'd like to look at the Soviet problem. The biggest intelligence success based on SIGINT that always stands out in my mind when I think of the forties and the early fifties is the success that we, collectively, that is the ASA, NSG, and then AFSA NSA, had against that was used

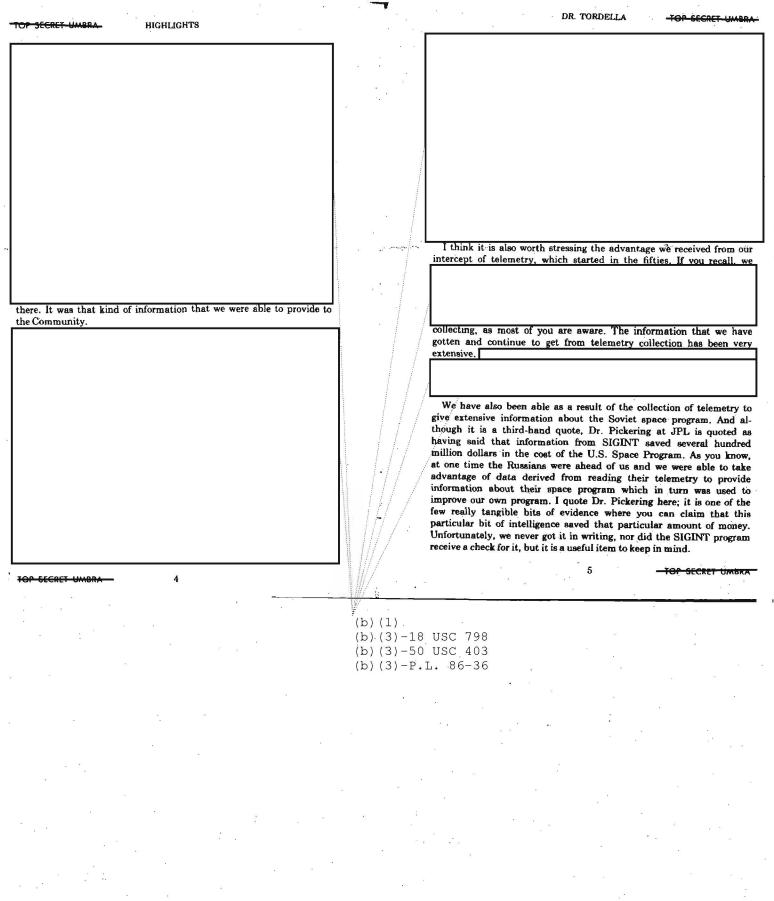
by the Russians. That was both a success story in and also a success story in the intelligence arena. Some of you may have participated directly and you may remember the

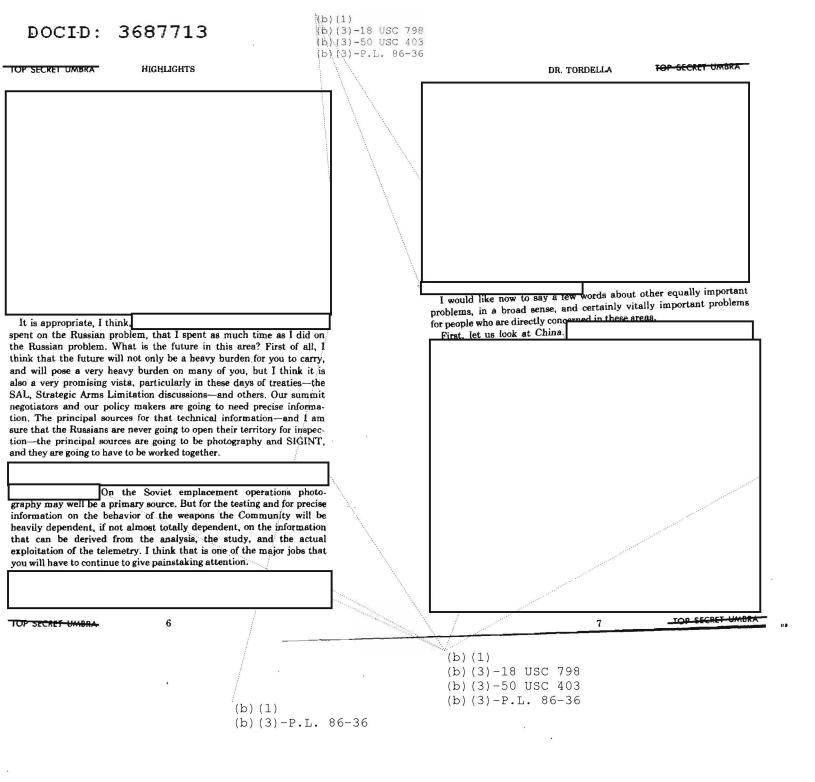
The design of equipment to cope with that was no mean feat in itself. But I want to talk this morning about the intelligence successes

the late forties and the early fifties, I know we made a terrific contribution to the knowledge the West had of what was going on in Russia.

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