

UNCLASSIFIED

APPENDIX L1

UNCLASSIFIED

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Subject: OIG Investigation - supporting documentation
Date: Wednesday, July 24, 2013 5:02:36 PM
Attachments: [REDACTED]

(b) (3) -P.L. 86-36

Classification: ~~TOP SECRET//SI//NOFORN~~

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36

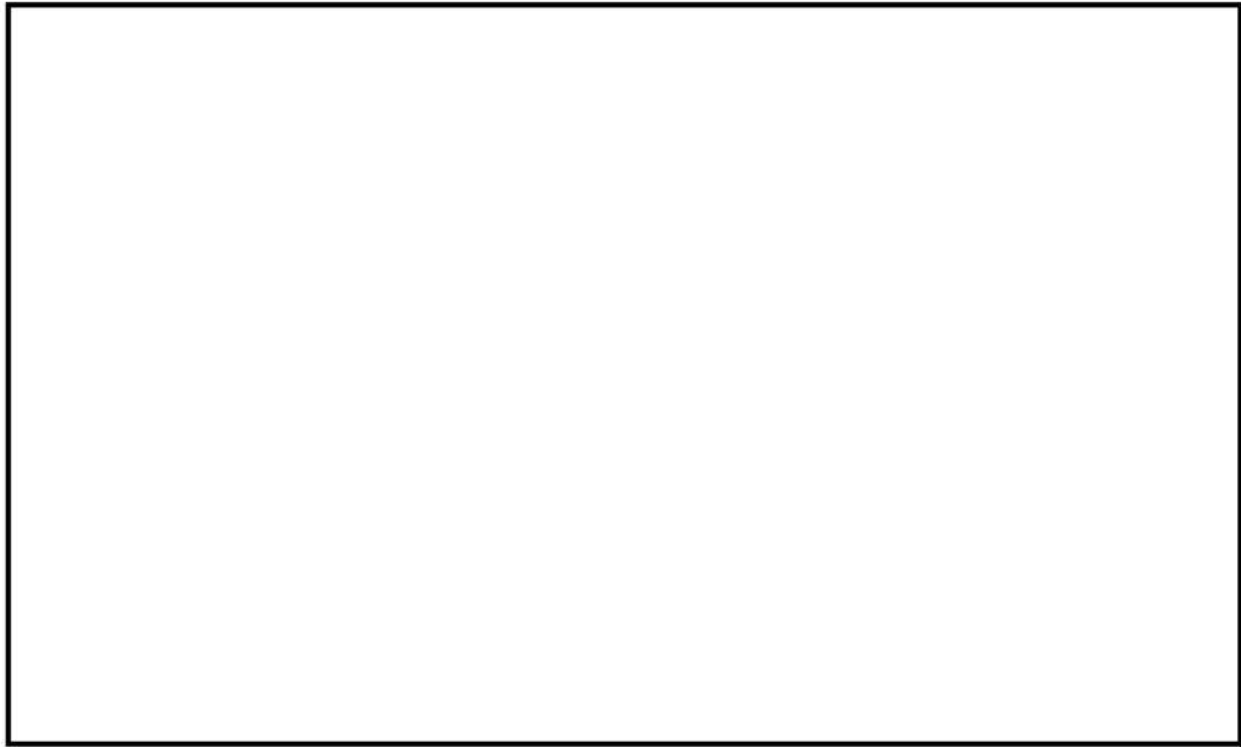
[REDACTED]

Attached is the documentation I referred to in my previous email. The spreadsheets were provided to the OIG, along with the "Notes" below, from the Source of the OIG complaint. I'm certainly not expecting you to review all of this data prior to tomorrow's meeting but wanted you to have the soft-copies for further reference following our meeting (I am unable to print them due to their width).

Regards,

Notes:

[REDACTED]



(U//FOUO) [redacted]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14



(b) (3) - P.L. 86-36

(b) (1)
(b) (3) - 18 USC 798
(b) (3) - 50 USC 3024 (i)
(b) (3) - P.L. 86-36

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

Classified By: [redacted]

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380701

Classification: ~~TOP SECRET//SI//NOFORN~~

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Subject: (U) Request for Assistance: IG Investigation - [REDACTED]
Date: Saturday, July 27, 2013 10:43:20 AM
Attachments: (U) [REDACTED] (242 KB).msg
[Interview Excerpts.docx](#)
[Allegations_Issues.docx](#)
[Emails.pdf](#)
Importance: High

Classification: ~~TOP SECRET//SI//NOFORN~~

(b) (1)
 (b) (3)-18 USC 798
 (b) (3)-50 USC 3024(i)
 (b) (3)-P.L. 86-36

Hello [REDACTED]

[REDACTED] and I thank you for meeting with us on Friday morning. We greatly value and appreciate your assistance.

For your review, I am sending some of the data we have gathered during the course of the investigation. After our meeting, [REDACTED] and I remembered that [REDACTED] list of [REDACTED] [REDACTED] that we provided to you in the meeting had been updated by [REDACTED]. A newer version is in the attached Emails.pdf, dated 6/27/2013, [REDACTED].

[REDACTED] Also included in the attached Emails.pdf are several other emails that provide background on additional allegations/concerns as well as some information that explains the work [REDACTED] is doing.

The allegations are summarized in the attached document labeled 'Allegations_Issues'. This attachment also lists some of the issues to be considered/addressed.

The attachment 'Interview Excerpts' includes some bullets from our interview with [REDACTED].

The attachment [REDACTED] is an exchange between [REDACTED] and [REDACTED] when [REDACTED] expressed some concern about [REDACTED] work (start at the end of the document to see [REDACTED] questions to [REDACTED]).

I spoke with the IG Source, [REDACTED] and [REDACTED] is more than willing to speak with you about [REDACTED] concerns. I also spoke with [REDACTED] and [REDACTED] and they too are willing to talk with you about [REDACTED] project, if you choose to contact them.

Yesterday, [REDACTED] provided a copy of all [REDACTED] that have been created, edited, or deleted by [REDACTED] that involve [REDACTED]. The email and audit log are also included in the Emails.pdf.

[REDACTED] and I are very interested in hearing your thoughts on [REDACTED] work/project, so please let us know when you are ready to meet again and discuss.

Again, thank you for your time and assistance on this case – it is invaluable!

If you have any questions or concerns, please let me know.

V/R,

[Redacted]

(b) (3) - P.L. 86-36

(U//FOUO)

Senior Investigator

NSA/CSS OIG, Office of Investigations, D14

[Redacted]

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

Classified By:

[Redacted]

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380701

Classification: ~~TOP SECRET//SI//NOFORN~~

UNCLASSIFIED

APPENDIX L2

UNCLASSIFIED

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Subject: (U) OIG Investigation
Date: Monday, November 25, 2013 11:28:56 AM

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

[REDACTED]

[REDACTED] got back to us with his initial thoughts on the data. There are some concerns. Please call me if you wish to discuss. I will also be sending additional information to you, but wanted to give you this quick notice. From what understand, there is a meeting within [REDACTED] today to discuss some of these same issues.

[REDACTED]

(U//FOUO) [REDACTED]

Senior Investigator

NSA/CSS OIG, Office of Investigations, D14

[REDACTED]

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

(b) (3) - P.L. 86-36

UNCLASSIFIED

APPENDIX L3

UNCLASSIFIED

From:

To:

Cc:

Subject:

(U) [redacted] IG investigation

Date:

Friday, August 16, 2013 4:17:12 PM

Classification: ~~TOP SECRET//COMINT//NOFORN~~

[redacted]

I am following up on your request for help in the IG investigation of potentially illicit tasking by [redacted] personnel as raised by [redacted] personnel. After reading the material your provided and talking with both the [redacted] and the [redacted] folks, my thoughts are as follows.

1> It's not clear to me that anything nefarious is going on.

(b) (3) - P.L. 86-36

(b) (1)
(b) (3) - 18 USC 798
(b) (3) - 50 USC 3024 (i)
(b) (3) - P.L. 86-36

[REDACTED]

Based on these activities I foresee that we would need go in several directions – as a preliminary set of thoughts I would offer

[REDACTED]

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (1)
(b) (3) -P.L. 86-36

I have made both the CH and d/CH of [REDACTED] aware of the investigation and my thoughts and concerns. For completeness I have cc'd them on this note.

(b) (3) -P.L. 86-36

It not clear to me if local leadership in [REDACTED] are aware of these issues or what procedures I should use for informing them of my findings. Any thoughts you might have on that are appreciated.

(U//FOUO) —

[REDACTED]

(U//FOUO) —

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380801

Classification: ~~TOP SECRET//COMINT//NOFORN~~

UNCLASSIFIED

APPENDIX L4

UNCLASSIFIED

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) Follow-up
Date: Friday, August 16, 2013 2:43:40 PM

Classification: ~~SECRET//NOFORN~~

Yes – please hold to these ‘rules’ below

~~(U//FOUO)~~

[REDACTED]

~~(U//FOUO)~~

From: [REDACTED]
Sent: Thursday, August 15, 2013 9:43 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) Follow-up

Classification: ~~SECRET//NOFORN~~

Yes, this makes sense. Just to be clear, we read your guidance to mean and seek clarification:

1. ~~(S//NF)~~ [REDACTED]
[REDACTED]

2. ~~(S//NF)~~ No investigating [REDACTED]
[REDACTED]

until we get further guidance from you.

3. ~~(S//NF)~~ Does not apply to [REDACTED]
[REDACTED]

PS (S//SI)

(U//FOUO)

(U//FOUO)

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024 (i)
(b) (3)-P.L. 86-36

From: [REDACTED]

Sent: Thursday, August 15, 2013 8:35 AM

To: [REDACTED]

Cc: [REDACTED]

Subject: (U) Follow-up

Classification: ~~SECRET//NOFORN~~

(b) (3)-P.L. 86-36
(b) (6)

Thanks for the time yesterday – hopefully we can get this back on track.

I am working several actions as follow-on from our discussion that will start in the next few days.

After thinking this through I did want issue one piece of guidance.

I am going to ask that you stand down on any actions [REDACTED]

[REDACTED] I am pursuing that now.

Let me know if this does not make sense.

(U//FOUO)

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024 (i)
(b) (3)-P.L. 86-36



(b) (3) - P.L. 86-36

(U//~~FOUO~~)

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380801

Classification: ~~SECRET//NOFORN~~

UNCLASSIFIED

APPENDIX L5

UNCLASSIFIED

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) IG Investigation: [REDACTED]
Date: Thursday, August 22, 2013 5:02:13 PM

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024 (i)
(b) (3)-P.L. 86-36

Thank you!

Our understanding was that [REDACTED] We
were told that [REDACTED] started his activity in October 2011.

(b) (3)-P.L. 86-36
(b) (6)

(U//FOUO) [REDACTED]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

****PRIVACY SENSITIVE** – any misuse or unauthorized disclosure may lead to disciplinary
action**

From: [REDACTED]
Sent: Thursday, August 22, 2013 4:48 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) IG Investigation: [REDACTED]

Classification: ~~SECRET//COMINT//REL TO USA, FVEY~~

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024 (i)
(b) (3)-P.L. 86-36

[REDACTED]

I do have a few thing I need to run down in this answer. [REDACTED]

[REDACTED]
[REDACTED] I just tried to call [REDACTED] and had no response. I will try him again in the AM to verify this and make sure my understanding matches my note above.

(U//FOUO)

[REDACTED]

(U//FOUO)

From: [REDACTED]

Sent: Thursday, August 22, 2013 4:34 PM

To: [REDACTED]

Cc: [REDACTED]

Subject: FW: (U) IG Investigation: [REDACTED]

Importance: High

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

Hi [REDACTED]

[REDACTED] responded to the OIGs question regarding [REDACTED] below.

[REDACTED]

[REDACTED] and I discussed his response and she posed a very good question.....

[REDACTED]

Thank you.

[REDACTED]

(U//FOUO)

[REDACTED]

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024(i)
(b) (3)-P.L. 86-36
(b) (6)

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024(i)
(b) (3)-P.L. 86-36

Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

[REDACTED]

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

(b) (3) - P.L. 86-36

From: [REDACTED]
Sent: Wednesday, August 21, 2013 10:08 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) IG Investigation: [REDACTED]

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36

[REDACTED]

Thank you for your response. No need for [REDACTED]
May I please have the name of the Branch TD who provided this information to you?
Thank you,

(b) (3) - P.L. 86-36

(U//FOUO) [REDACTED]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

[REDACTED]

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

From: [REDACTED]
Sent: Wednesday, August 21, 2013 9:55 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) IG Investigation: [REDACTED]

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36

[redacted]
(U//FOUO)

[redacted]

(b) (3) - P.L. 86-36

From: [redacted]
Sent: Wednesday, August 21, 2013 9:31 AM
To: [redacted]
Cc: [redacted]
Subject: RE: (U) IG Investigation: [redacted]
Importance: High

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

[redacted]
I never heard back from you after my email from last week. Is this doable? Is someone working on this? Please provide a status.

Also, I would like confirmation that after you expressed concern to [redacted] about [redacted] Is this correct?

(b) (1)
(b) (3) - 18 USC 798
(b) (3) - 50 USC 3024 (1)
(b) (3) - P.L. 86-36

Thank you,

[redacted]

(b) (3) - P.L. 86-36
(b) (6)

(U//FOUO) [redacted]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

(b) (3) - P.L. 86-36

[redacted]
PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

From: [redacted]
Sent: Wednesday, August 14, 2013 11:28 AM
To: [redacted]
Cc: [redacted]
Subject: RE: (U) IG Investigation: [redacted]

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

Hi [REDACTED]

Thank you for your assistance [REDACTED]

We are thinking around March 2012 up through the time when you expressed your concerns to [REDACTED]
[REDACTED] which I believe was March 2013 (based on the email exchange you provided
to me between you and [REDACTED]. Do you think a one year search is doable? [REDACTED]
[REDACTED]

I am thinking a search through 2013 is sufficient because after that, they were excluded, correct?

(U//FOUO) [REDACTED]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14
[REDACTED]

**PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary
action**

From: [REDACTED]
Sent: Wednesday, August 14, 2013 11:21 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) IG Investigation: [REDACTED]

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

Would you be able to provide a specific time frame for us to search?
We'll probably have to [REDACTED]
and will need a time range.

thanks,
[REDACTED]

(U//FOUO)
[REDACTED]

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36

(b) (3) -P.L. 86-36
(b) (6)

(b) (3) -P.L. 86-36

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36

(b) (3) - P.L. 86-36

[redacted]
From: [redacted]
Sent: Wednesday, August 14, 2013 10:01 AM
To: [redacted]
Cc: [redacted]
Subject: RE: (U) IG Investigation: [redacted]

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

[redacted]
Thank you.

[redacted]

[redacted]

(U//FOUO) [redacted]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

[redacted]

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

From: [redacted]
Sent: Wednesday, August 14, 2013 9:53 AM
To: [redacted]
Cc: [redacted]
Subject: RE: (U) IG Investigation: [redacted]

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

[redacted]
I am not aware of any IO incidents from our [redacted]
due to [redacted] project. The only way to know for sure if

[redacted]

(b) (1)
(b) (3) - 18 USC 798
(b) (3) - 50 USC 3024 (1)
(b) (3) - P.L. 86-36

(b) (3) - P.L. 86-36
(b) (6)

(U//FOUO)

From: [REDACTED]
Sent: Monday, August 12, 2013 12:30 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: (U) IG Investigation: [REDACTED]
Importance: High

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024 (i)
(b) (3)-P.L. 86-36

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

(b) (3)-P.L. 86-36
(b) (6)

I have a question with regard to the attached email exchange between you and [REDACTED]

It is my understanding that, after this email exchange and/or a verbal discussion with [REDACTED] about [REDACTED] they were excluded from [REDACTED] project tasking/collection activity. However, before they were excluded, are you aware of any reportable IO incidents (whether they were reported or not) as a result of [REDACTED] project/activity with regard to [REDACTED] Would you have known that an IO incident occurred, or could one have occurred that you would not have known about? Please elaborate in your response.

Thank you,

(U//FOUO) [REDACTED]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380801

(b) (3) - P.L. 86-36

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380801

Classification: ~~SECRET//COMINT//REL TO USA, FVEY~~

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380801

Classification: ~~SECRET//SI//REL TO USA, FVEY~~

UNCLASSIFIED

APPENDIX L6

UNCLASSIFIED

From: [redacted]
To: [redacted]
Cc: [redacted]
Subject: RE: (U) [redacted] IG investigation
Date: Thursday, September 26, 2013 7:07:09 AM

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024 (i)
(b) (3)-P.L. 86-36

Classification: ~~TOP SECRET//COMINT//NOFORN~~

[redacted]

I believe there are two key concern here. [redacted]

[redacted]

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024 (i)
(b) (3)-P.L. 86-36
(b) (6)

If you believe we need to go down that path then the real expert is [redacted]

Frustratingly there is bad behavior on both sides here. I might suggest the following path forward.

- 1> Codify the [redacted] discussion on what is and is not allowed [redacted]
- 2> Use this #1 to educate the source and all parties involved. And help the Source understand [redacted] is not the [redacted] Control officer"
- 3> Establish over arching principles / policy changes / technology changes to eliminate or highlight so it can be better understood. [redacted]
- 4> Recommend some sort of organizational mediation or counseling to help lower the tension between [redacted]

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024 (i)
(b) (3)-P.L. 86-36

(U//FOUO)

[redacted]

(b) (3) -P.L. 86-36

(U//FOUO)

From: [REDACTED]
Sent: Wednesday, September 25, 2013 12:56 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: FW: (U) [REDACTED] IG investigation
Importance: High

Classification: TOP SECRET//SI//NOFORN

Hello [REDACTED]

(b) (3) -P.L. 86-36
 (b) (6)

I left a voicemail for you....

[REDACTED] and I request your assistance in identifying someone who has the technical background/expertise to analyze the data provided to us by the Source of the OIG complaint, and who, in the end, will be able to confirm [REDACTED] through [REDACTED] project work. To date, we have not been able to get a clear reading on this, though other technical experts are willing to say [REDACTED]

(b) (1)
 (b) (3) -18 USC 798
 (b) (3) -50 USC 3024 (i)
 (b) (3) -P.L. 86-36

(b) (3) -P.L. 86-36

As a next step, [REDACTED] and I would like to schedule a meeting with [REDACTED] (Source), [REDACTED] representative [REDACTED], and the technical expert you name, to go through/discuss the allegations and supporting documentation. The goal would be a confirmation or rebuttal, from the technical expert, [REDACTED]

Some of the allegations are process concerns that the OIG will list in an Advisory that will eventually be provided to [REDACTED] and/or SV for follow-on attention/action. However, some of the allegations may indeed substantiate a violation of law/rule/policy, and we must get a final reading on this as soon as possible.

(b) (3) -P.L. 86-36

Who do you recommend [REDACTED] recently suggested [REDACTED] now working in [REDACTED] Your thoughts?

Thank you,

(U//FOUO) [REDACTED]
 Senior Investigator
 NSA/CSS OIG, Office of Investigations, D14

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

[Redacted]

From: [Redacted]
Sent: Friday, August 16, 2013 4:17 PM
To: [Redacted]
Cc: [Redacted]
Subject: (U) [Redacted] IG investigation

Classification: ~~TOP SECRET//COMINT//NOFORN~~

[Redacted]

I am following up on your request for help in the IG investigation of potentially illicit tasking by [Redacted] personnel as raised by [Redacted] personnel. After reading the material your provided and talking with both the [Redacted] and the [Redacted] folks, my thoughts are as follows.

- 1> It's not clear to me that anything nefarious is going on.

[Redacted]

(b) (3) -P.L. 86-36

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (1)
(b) (3) -P.L. 86-36



(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (1)
(b) (3) -P.L. 86-36

Based on these activities I foresee that we would need go in several directions – as a preliminary set of thoughts I would offer



I have made both the CH and d/CH of aware of the investigation and my thoughts and concerns. For completeness I have cc'd them on this note.

(b) (3) -P.L. 86-36

It not clear to me if local leadership in are aware of these issues or what procedures I should use for informing them of my findings. Any thoughts you might have on that are appreciated.

(U//FOUO)



[Redacted]

(U//FOUO)

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380801

Classification: ~~TOP SECRET//COMINT//NOFORN~~

Classified By: [Redacted]

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380801

(b) (3) - P.L. 86-36

Classification: ~~TOP SECRET//SI//NOFORN~~

Classified By: [Redacted]

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380901

Classification: ~~TOP SECRET//SI//NOFORN~~

Classified By: [Redacted]

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380901

Classification: ~~TOP SECRET//SI//NOFORN~~

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20380901

Classification: ~~TOP SECRET//COMINT//NOFORN~~

UNCLASSIFIED

APPENDIX L7

UNCLASSIFIED

[REDACTED]

(U//~~FOUO~~) Name: Ronald Moultrie

(U) Date: Meeting, 7/2/14, 2W102, 1305 - 1345

(U) Organization: SID

(U) Job Title: Deputy Director

(U//~~FOUO~~) Interviewers: [REDACTED]

(b) (3) - P.L. 86-36

(U//~~FOUO~~) We asked about the "onesees and twosees" approach to compliance and noted that there were

[REDACTED] Moultrie opined that it was [REDACTED] responsibility to provide the overview. We noted that [REDACTED] does not agree that it has that responsibility. All compliance organizations provide guidance and recommend action only. We asked what organization has the hammer. Moultrie did not respond.

(U//~~FOUO~~) We noted that as a result, there is the [REDACTED] incident, which has been going on for one year despite concern that the activity was improper. Moultrie noted that recently he told the [REDACTED] to stop the activity. We were glad he had done so. [REDACTED]

[REDACTED] Moultrie noted that since he stopped the activity, [REDACTED] told Moultrie that he should not have shut it down. Moultrie told [REDACTED] to show him that the activity was providing results. Moultrie noted that it has been three weeks and [REDACTED] has not shown him any evidence that the activity was productive. [REDACTED]

[REDACTED] Moultrie jokingly noted that this was not the case when he was [REDACTED] chief, and jokingly, we concurred. Moultrie stated that the "cost of doing mission is compliance: You either do the compliance or you don't do the mission."

(U//~~FOUO~~) Moultrie asked us to make another appointment to continue the conversation.

[REDACTED]
Intelligence Oversight, D11
NSA IG
963-2979

UNCLASSIFIED

APPENDIX L8

UNCLASSIFIED

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Bcc: [REDACTED]
Subject: (U) [REDACTED] IG Investigation - 4 of 4
Date: Monday, July 21, 2014 4:28:00 PM
Attachments: [FW \(U\) OIG Investigation - Tasking.msg](#)

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36

(b) (3) -P.L. 86-36

Classification: ~~TOP SECRET//SI//NOFORN~~

This is the last email pertaining to [REDACTED] review of the data.

As you requested, I provided this data to you so that you and [REDACTED] can review it before you discuss it further with [REDACTED]. Please do not forward the data to anyone else.

Again, please let me know the results of your final review.

V/R,

[REDACTED]

(U//FOUO) [REDACTED]

Senior Investigator

NSA/CSS OIG, Office of Investigations, D14

[REDACTED]

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

(b) (3) -P.L. 86-36

Classified By: [REDACTED]

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390701

Classification: ~~TOP SECRET//SI//NOFORN~~

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) [REDACTED] IG investigation • 1 of 4
Date: Monday, July 21, 2014 3:57:14 PM
Attachments: (U) IV-13-0077 Initial Assessment (25.6 KB).msg
FW (U) IV-13-0077 (U) 1 - Data for OIG Investigation - Assessmentfull... (61.1 KB).msg
MOI [REDACTED]
FW (U) IV-13-0077 (U) 1 - Data for OIG Investigation (1.63 MB).msg

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024(i)
(b) (3)-P.L. 86-36

Classification: ~~TOP SECRET//SI//NOFORN~~

I am forwarding to you the information the OIG provided to [REDACTED] and his assessment of the data. [REDACTED] is aware that I am forwarding this information to you.

Attached is his initial and final assessment. In emails that will follow, I will send all of the data that he based his final assessment on.

This is the same data we shared with you during your interview with the OIG in December. I am including a copy of the notes I took from that meeting which may help refresh your memory on our discussion regarding [REDACTED] assessment.

After reviewing and discussing the data with [REDACTED] if you were to come to any different conclusions/opinions on what has occurred, would you please let me know? I am writing my report and hope to close this case by the end of next month.

Thank you,
[REDACTED]

From: [REDACTED]
Sent: Friday, July 18, 2014 4:43 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) [REDACTED] IG investigation

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024(i)
(b) (3)-P.L. 86-36

Classification: ~~UNCLASSIFIED//FOR OFFICIAL USE ONLY~~

I hope to get back to you on Monday with additional information.

From: [REDACTED]
Sent: Thursday, July 17, 2014 10:13 AM
To: [REDACTED]

Cc: [redacted]
Subject: (U) [redacted] IG investigation

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

(b) (3) - P, L, 86-36

[redacted]
As I believe you are aware [redacted] has asked [redacted] and myself to help in the review of the [redacted] investigation and hopefully bring it to a close.

Towards the end of our discussion last year (December) you forwarded some examples to [redacted] for analysis upon which he made conclusions. Would it be possible to get copies of what you sent to [redacted] and his response? I plan to meet with [redacted] and discuss this but would like the background first.

(U//~~FOUO~~)

[redacted]
(U//~~FOUO~~)

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Classified By: [redacted]

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390701

Classification: ~~TOP SECRET//SI//NOFORN~~

UNCLASSIFIED

APPENDIX L9

UNCLASSIFIED

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) [REDACTED] IG Investigation - 4 of 4
Date: Thursday, August 21, 2014 10:29:53 AM

Classification: ~~TOP SECRET//SI//NOFORN~~

[REDACTED]
Thank you. I am interested in obtaining [REDACTED] assessment of the matter.

V/R
[REDACTED]

(U//FOUO) [REDACTED]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14
[REDACTED]

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

From: [REDACTED]
Sent: Thursday, August 21, 2014 9:50 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) [REDACTED] IG Investigation - 4 of 4

Classification: ~~TOP SECRET//COMINT//NOFORN~~

[REDACTED]
I have asked [REDACTED] to review both the MOI of my interview as well as [REDACTED] summary write-ups. Our final answer will come from [REDACTED] I am deferring comment until [REDACTED] has had time to review and he and I can discuss.

(U//FOUO)
[REDACTED]

[REDACTED]

(U//FOUO)

(b) (3) -P.L. 86-36

From: [REDACTED]
Sent: Thursday, August 21, 2014 9:15 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: FW: (U) [REDACTED] IG Investigation - 4 of 4

Classification: ~~TOP SECRET//SI//NOFORN~~

[REDACTED]

Would you please let me know what you and [REDACTED] concluded on the matter after performing another review of the data, [REDACTED] assessment, and the SOP?

Thank you,

[REDACTED]

From: [REDACTED]
Sent: Thursday, August 14, 2014 3:20 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) [REDACTED] IG Investigation - 4 of 4

Classification: ~~TOP SECRET//SI//NOFORN~~

[REDACTED]

I have no reservations about you sharing [REDACTED] assessment and the related technical data with [REDACTED]. I double checked with [REDACTED] and he had no objections with you sharing his assessment with [REDACTED].

(b) (3) -P.L. 86-36

What did you and [REDACTED] conclude on the matter after performing another review of the data, [REDACTED] assessment, and the SOP?

Did you discuss [REDACTED] assessment with him?

My goal for writing my report is by the end of the month or early Sep. After the report is written it then needs to go through the review/approval process, which could take several more weeks. My estimated date for closing this case has kept moving due to the juggling of several other investigations.

[REDACTED]

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36

(U//FOUO)

Senior Investigator

NSA/CSS OIG, Office of Investigations, D14

(b) (3) - P.L. 86-36

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

From:

Sent: Thursday, August 14, 2014 2:43 PM

To:

Cc:

Subject: RE: (U) IG Investigation - 4 of 4

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36

Classification: TOP SECRET//COMINT//NOFORN

I have read over the information and also the SOP that is now in place within [redacted] [redacted] [redacted] has been asked to weigh in from the [redacted] management level and I would like to share the supplied information with him – is that OK ? Both [redacted] and my efforts on this are under the direction of [redacted]

(b) (3) - P.L. 86-36

Lastly you indicated in a previous email that you were coming close to filing your report – can you let me know when that will be ?

(U//FOUO)

(b) (3) - P.L. 86-36

(U//FOUO)

From:

Sent: Monday, July 21, 2014 4:28 PM

To: [REDACTED]

Cc: [REDACTED]

Subject: (U) [REDACTED] IG Investigation - 4 of 4

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024(i)
(b) (3)-P.L. 86-36

Classification: ~~TOP SECRET//SI//NOFORN~~

(b) (3) -P.L. 86-36

[REDACTED]
This is the last email pertaining to [REDACTED] review of the data.

As you requested, I provided this data to you so that you and [REDACTED] can review it before you discuss it further with [REDACTED]. Please do not forward the data to anyone else.

Again,, please let me know the results of your final review.

Y/R,

[REDACTED]

<< Message: FW: (U) OIG Investigation - Tasking >>

(U//FOUO) [REDACTED]

Senior Investigator

NSA/CSS OIG, Office of Investigations, D14

[REDACTED]

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

Classified By: [REDACTED]

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390701

Classification: ~~TOP SECRET//SI//NOFORN~~

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//COMINT//NOFORN~~

Classified By:

Derived From: NSA/CSSM 1-52

(b) (3) -P.L. 86-36

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//SI//NOFORN~~

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//SI//NOFORN~~

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//COMINT//NOFORN~~

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//SI//NOFORN~~

UNCLASSIFIED

APPENDIX L10

UNCLASSIFIED

From: [REDACTED]
To: [REDACTED]
Subject: FW: (U) following up with additional information
Date: Thursday, June 05, 2014 11:38:12 AM

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

From: [REDACTED]
Sent: Thursday, June 05, 2014 11:02 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: (U) following up with additional information

(b) (3) -P, L, 86-36

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Hi [REDACTED]

The subject investigation was/is allegations that [REDACTED] is/has conducted tasking which includes US person numbers. The investigation was being conducted by [REDACTED] and [REDACTED]

Per of SID leadership and SV leadership, new allegations have been made – and as a result, we have been directed to stop the work performed in the manner alleged. While we could just shut down the work of [REDACTED] since all of the individuals perform the work in the same manner, leadership believes we need to understand what is being alleged and stop anything which is being conducted in that manner while an investigation is conducted. Following receipt of an outcome from the investigation, mission will be resumed (if possible) in a manner which is determined to be acceptable to leadership.

While [REDACTED] has been directed to take action, they are at a loss what should be done until they know what is alleged to be problematic. [REDACTED] is awaiting the response that [REDACTED] has taken action to stop mission which is alleged to be problematic. So, we are at a loss at this moment. If a conversation needs to be held at higher levels, so that [REDACTED] can provide direction to [REDACTED] for what should be implemented while an investigation occurs, please let me know.

I have included [REDACTED] Chief SID Oversight and Compliance on this email.

Thanks
[REDACTED]

(b) (3) -P, L, 86-36
(b) (6)

(b) (3) -P, L, 86-36

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

UNCLASSIFIED

APPENDIX L11

UNCLASSIFIED

From:
To:
Cc:

Subject: RE: (U) OIG Investigation - Response to D/DIR
Date: Thursday, May 22, 2014 4:28:20 PM
Attachments:

Classification: ~~SECRET//COMINT//NOFORN~~

Hi [REDACTED]

(b) (1)
(b) (3)-18 USC 798
(b) (3)-50 USC 3024(i)
(b) (3)-P.L. 86-36

I've answered your questions below, in **red**. Please let me know if you have anything further we can answer.

Thanks!

(U//FOUO)

From: [REDACTED]
Sent: Friday, May 02, 2014 10:35 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: ~~UNCLASSIFIED//FOR OFFICIAL USE ONLY~~

Thank you, [REDACTED]

(U//FOUO)

Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

From: [REDACTED]
Sent: Friday, May 02, 2014 10:32 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: ~~UNCLASSIFIED//FOR OFFICIAL USE ONLY~~

My understanding is that we've received a positive resolution of the issue. It will take us a little bit of time to dig up the emails, but we'll provide a copy to you of the final resolution we proved to the DD/SID and above.

Thanks,

(U//FOUO)

From: [REDACTED]
Sent: Friday, May 02, 2014 10:17 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: FW: (U) OIG Investigation - Response to D/DIR
Importance: High

(b) (3) -P.L. 86-36

Classification: UNCLASSIFIED//FOR OFFICIAL USE ONLY

Good morning,

In [REDACTED] absence, is there another POC who could provide [REDACTED] conclusion to me as to whether or not [REDACTED] believes there was an IO incident with regard to a [REDACTED] analyst's alleged activity/improper use of the SIGINT system? [REDACTED] does not believe it was an incident. That said, we defer to those whose responsibility it is to ultimately make that determination. OGC [REDACTED] (see [REDACTED] note just below). We are engaging with SV to get their definitive read.

(b) (3) -P.L. 86-36
 (b) (5)

OGC [REDACTED] but since there was an open IG investigation, we left it to the IG to conduct an investigation as this is not something that is normally in OGC's lane. In discussing with the people in the branch, they had a reasonable and mission appropriate reason [REDACTED]
 [REDACTED] We did not identify any willful misuse of the SIGINT system.

(b) (1)
 (b) (3) -18 USC 798
 (b) (3) -50 USC 3024(i)
 (b) (3) -P.L. 86-36

We did believe that better documentation of procedures was warranted. A SOP was generated, and we did review a version of that and make comments. I have lost the bubble on where that SOP is though. OGC wanted to allow the IG investigation to complete as they have an important role to play here.

Also, if anything was provided to D/DIR regarding this matter, please forward a copy. [redacted]
dialogued with SID about updating DDIR but is awaiting SV's update before sending that note.

And finally, has OGC has completed their review of the SOP? After [redacted] provided the above, we
sent him the latest version of the SOP.

We would like to obtain a copy of the SOP, whether finalized or in draft form. Attached!

Please let me know if you have any questions.

Thank you,

[redacted]
(U//FOUO) [redacted]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

From: [redacted]
Sent: Wednesday, April 23, 2014 8:49 AM
To: [redacted]
Subject: Automatic reply: (U) OIG Investigation - Response to D/DIR

(U//FOUO) I attending CAPSTONE and will be out of the office until May 18th. During this period, [redacted]
is the acting D/Chief of [redacted] Also, you can contact [redacted]

From: [redacted]
Sent: Wednesday, April 23, 2014 8:49 AM
To: [redacted]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Hello [redacted]

Was anything ever provided to the SID level or DDIR regarding the allegation that a [redacted] analyst
improperly used the SIGINT system? If so, would you please forward a copy to me? Otherwise,
would you please provide me with the status?

In case you are wondering, we have not yet closed the case in the IG.

Thank you,

[redacted]
(U//FOUO) [redacted]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

[REDACTED]

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

(b) (3) - P.L. 86-36

From: [REDACTED]
Sent: Thursday, February 20, 2014 10:07 AM
To: [REDACTED]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

[REDACTED]

Sure. Will do.

[REDACTED]

[REDACTED]

From: [REDACTED]
Sent: Thursday, February 20, 2014 9:01 AM
To: [REDACTED]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

[REDACTED] Thank you. When the SOP is finalized, would you (or someone on your staff) please provide a copy to me?

(U//FOUO) [REDACTED]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

[REDACTED]

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

From: [REDACTED]
Sent: Wednesday, February 19, 2014 3:54 PM
To: [REDACTED]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

[REDACTED]

(b) (3) - P.L. 86-36

We have documented standard operating procedures for the [REDACTED] This was a recommendation from [REDACTED] when the issues originally surfaced. The procedures are currently under review by the OGC (our [REDACTED] Once we have agreement, these procedures will become part of standard operations for the team.

From: [REDACTED]
Sent: Wednesday, February 19, 2014 10:22 AM
To: [REDACTED]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Hello [REDACTED]

(b) (3) - P.L. 86-36
(b) (6)

I have been working on several cases at once, so bringing the [REDACTED] case to closure has been a bit delayed. I hope to submit my first draft report to management by the end of March.

Would you please share with me/OIG what steps your organization has taken regarding the issue?

Thank you,

(U//FOUO) [REDACTED]
Senior Investigator
NSA/CSS OIG - Office of Investigations, D14

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

From: [REDACTED]
Sent: Tuesday, February 18, 2014 9:51 AM
To: [REDACTED]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

I just am checking in on this – I owe a response to the new DDIR regarding steps we have taken (independent of the IG investigation) for this issue. I anticipate there will be questions regarding the ongoing case. Do you know when you will be wrapping up your investigation and issuing a final report?

Thanks

[REDACTED]

[REDACTED]

From: [REDACTED]
Sent: Wednesday, December 18, 2013 12:16 PM
To: [REDACTED]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Thank you, [REDACTED] Is your OGC attorney [REDACTED] I ask because I may want to include your OGC attorney in a meeting sometime in the future.

(U//FOUO) [REDACTED]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14

[REDACTED]

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

From: [REDACTED]
Sent: Wednesday, December 18, 2013 9:55 AM
To: [REDACTED]
Subject: RE: (U) OIG Investigation - Response to D/DIR

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

[REDACTED]

We haven't yet sent anything forward to SID or the DDIR. First, we were still working to get to the bottom line regarding whether or not there was an incident. Our OGC attorney [REDACTED] [REDACTED] We wanted to get that reconciled before sending anything further. We are also developing an SOP for the branch so it is well understood how their work is done both internally and externally. I'll let you know when we finally do get something out.

[REDACTED]

[REDACTED]

(b) (5)

(b) (3) - P, L, 86-36

From: [REDACTED]
Sent: Tuesday, December 17, 2013 6:55 PM
To: [REDACTED]
Subject: (U) OIG Investigation - Response to D/DIR
Importance: High

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Hello [REDACTED]

When I called you on 25 November, you indicated that [REDACTED] had been tasked to provide input regarding an email that was sent to D/DIR. I believe someone had complained/voiced their concerns to D/DIR about a [REDACTED] analyst's alleged activity/improper use of the SIGINT system.

At that time, I believe a meeting was going to be held with key parties to discuss the matter and then [REDACTED] would be crafting a response.

The OIG would like to obtain a copy of the [REDACTED] response, if any, that was provided to D/DIR (or his staff).

Is this something you could provide to me?

Thank you,

[REDACTED]
(U//FOUO) [REDACTED]

Senior Investigator

NSA/CSS OIG, Office of Investigations, D14

[REDACTED]
PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

Classification: UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

(b) (3) - P.L. 86-36

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20130930

Declassify On: 39480914

Classification: ~~SECRET//COMINT//NOFORN~~

UNCLASSIFIED

APPENDIX L12

UNCLASSIFIED

From: [redacted]
To: [redacted]
Cc: [redacted]
Subject: RE: (U) OIG Investigation
Date: Friday, December 19, 2014 7:42:47 PM

Classification: ~~TOP SECRET//SI//NOFORN~~

I have reviewed the assessments of [redacted] and in general terms reviewed [redacted]

[redacted]

The efforts of those individuals such as [redacted] are vital to that process.

As I have reviewed the information available, I am of the opinion that [redacted] actions were neither designed nor intended to misuse the SIGINT system. His actions appear to be good faith efforts to diagnose and understand [redacted]

[redacted]

With respect to the assessment of [redacted] I cannot comment on his conclusions without having spoken to him in order to understand the underlying rationale for his conclusions and assumptions. I believe, however, that even he would need to engage in a dialogue with [redacted] in order to appropriately understand the nature of the problems encountered and the methodology used by [redacted] to investigate those problems.

If you have additional questions or need additional information, do not hesitate to contact me.

Regards,

[redacted]

[redacted]

From: [redacted]

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (1)
(b) (3) -P.L. 86-36

(b) (3) -P.L. 86-36

(b) (3) -P.L. 86-36
(b) (6)

(b) (3) -P.L. 86-36

Sent: Monday, December 15, 2014 11:20 AM

To: [REDACTED]

Cc: [REDACTED]

Subject: RE: (U) OIG Investigation

Classification: ~~TOP SECRET//SI//NOFORN~~

[REDACTED]

I have just returned from TDY. I'll review my notes on this and provide the requested response by c.o.b. tomorrow.

[REDACTED]

[REDACTED]

From: [REDACTED]

Sent: Friday, December 12, 2014 11:20 AM

To: [REDACTED]

Cc: [REDACTED]

Subject: (U) OIG Investigation

Importance: High

Classification: ~~TOP SECRET//SI//NOFORN~~

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36
(b) (6)

Good morning [REDACTED]

Based on [REDACTED] email, below, it is my understanding that you were going to review the [REDACTED]

[REDACTED]

Would you please let me know if you have completed the review of the information provided to you and if so, what you have concluded?

If you require any other information, or would prefer to meet and discuss further, please let me know.

Thank you,

[REDACTED]

(U//FOUO) [REDACTED]

Senior Investigator

NSA/CSS OIG, Office of Investigations, D14

[REDACTED]

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

From: [REDACTED]
Sent: Thursday, August 21, 2014 10:30 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) [REDACTED] IG Investigation - 4 of 4

Classification: ~~TOP SECRET//SI//NOFORN~~

[REDACTED]
Thank you. I am interested in obtaining [REDACTED] assessment of the matter.
V/R
[REDACTED]

(U//FOUO) [REDACTED]
Senior Investigator
NSA/CSS OIG, Office of Investigations, D14
[REDACTED]

****PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action****

From: [REDACTED]
Sent: Thursday, August 21, 2014 9:50 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: (U) [REDACTED] IG Investigation - 4 of 4

Classification: ~~TOP SECRET//COMINT//NOFORN~~

[REDACTED]
I have asked [REDACTED] to review both the MOI of my interview as well as [REDACTED] summary write-ups. Our final answer will come from [REDACTED] I am deferring comment until [REDACTED] has had time to review and he and I can discuss.

(U//FOUO)
[REDACTED]

(b) (3) -P.L. 86-36

(b) (3) -P.L. 86-36

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (1)
(b) (3) -P.L. 86-36



(U//FOUO)

From: [redacted]
Sent: Thursday, August 21, 2014 9:15 AM
To: [redacted]
Cc: [redacted]
Subject: FW: (U) [redacted] IG Investigation - 4 of 4

Classification: ~~TOP SECRET//SI//NOFORN~~

Would you please let me know what you and [redacted] concluded on the matter after performing another review of the data, [redacted] assessment, and the SOP?

Thank you,

[redacted]

From: [redacted]
Sent: Thursday, August 14, 2014 3:20 PM
To: [redacted]
Cc: [redacted]
Subject: RE: (U) [redacted] IG Investigation - 4 of 4

Classification: ~~TOP SECRET//SI//NOFORN~~

I have no reservations about you sharing [redacted] assessment and the related technical data with [redacted]. I double checked with [redacted] and he had no objections with you sharing his assessment with [redacted].

What did you and [redacted] conclude on the matter after performing another review of the data, [redacted] assessment, and the SOP?

Did you discuss [redacted] assessment with him?

My goal for writing my report is by the end of the month or early Sep. After the report is written it then needs to go through the review/approval process, which could take several

more weeks. My estimated date for closing this case has kept moving due to the juggling of several other investigations.

[redacted]
(U//FOUO) [redacted]

Senior Investigator

NSA/CSS OIG, Office of Investigations, D14

[redacted]

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

From: [redacted]
Sent: Thursday, August 14, 2014 2:43 PM
To: [redacted]
Cc: [redacted]
Subject: RE: (U) [redacted] IG Investigation - 4 of 4

(b) (1)
(b) (3) -18 USC 798
(b) (3) -50 USC 3024 (i)
(b) (3) -P.L. 86-36

Classification: ~~TOP SECRET//COMINT//NOFORN~~

[redacted]
I have read over the information and also the SOP that is now in place within [redacted] [redacted]
[redacted] has been asked to weigh in from the [redacted] management level and I would like to share the supplied information with him – is that OK ? Both [redacted] and my efforts on this are under the direction of [redacted]

Lastly you indicated in a previous email that you were coming close to filing your report – can you let me know when that will be ?

(U//FOUO)

[redacted]

[REDACTED]
(U//FOUO)

(b) (3) - P.L. 86-36

From: [REDACTED]
Sent: Monday, July 21, 2014 4:28 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: (U) [REDACTED] IG Investigation - 4 of 4

(b) (1)
(b) (3) - 18 USC 798
(b) (3) - 50 USC 3024 (i)
(b) (3) - P.L. 86-36

Classification: ~~TOP SECRET//SI//NOFORN~~

[REDACTED]
This is the last email pertaining to [REDACTED] review of the data.

As you requested, I provided this data to you so that you and [REDACTED] can review it before you discuss it further with [REDACTED]. Please do not forward the data to anyone else.

Again, please let me know the results of your final review.

V/R,

[REDACTED]
<< Message: FW: (U) OIG Investigation - Tasking >>

(U//FOUO) [REDACTED]

Senior Investigator

NSA/CSS OIG, Office of Investigations, D14
[REDACTED]

PRIVACY SENSITIVE – any misuse or unauthorized disclosure may lead to disciplinary action

Classified By: [REDACTED]

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390701

Classification: ~~TOP SECRET//SI//NOFORN~~

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//COMINT//NOFORN~~

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//SI//NOFORN~~

Classified By:

(b) (3) - P.L. 86-36

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//SI//NOFORN~~

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//COMINT//NOFORN~~

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20390801

Classification: ~~TOP SECRET//SI//NOFORN~~

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20130930

Declassify On: 20391201

(b) (3) - P.L. 86-36

Classification: ~~TOP SECRET//SI//NOFORN~~

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20391201

Classification: ~~TOP SECRET//SI//NOFORN~~

Classified By:

Derived From: NSA/CSSM 1-52

Dated: 20070108

Declassify On: 20391201

Classification: ~~TOP SECRET//SI//NOFORN~~