

File :

U.S. Security Authority
for NATO affairs

Declassified and approved for release by NSA on 02-07-2014
pursuant to E.O. 13526

MEMO ROUTING SLIP

FOR USE FOR APPROVALS DISAPPROVALS
CONCURRENCES OR SIMILAR ACTIONS

1	NAME OR TITLE <i>Col Gregory</i>	INITIALS	CIRCULATE
	ORGANIZATION AND LOCATION <i>P/O</i>	DATE	COORDINATION
2	<i>Mr Douglas</i>	<i>JD</i>	FILE INFORMATION
3	<i>Mr Buffham</i>	<i>m</i>	NECESSARY ACTION
	<i>Ryan</i>	<i>MR</i>	NOTE AND RETURN
4	<i>Mr [unclear]</i>	<i>um</i>	SEE ME
			SIGNATURE

REMARKS

Rich - return to S/ASST - all

FROM NAME OR TITLE

DATE

ORGANIZATION AND LOCATION

TELEPHONE

MEMO ROUTING SLIP

NEVER USE FOR APPROVALS DISAPPROVALS,
CONCURRENCES OR SIMILAR ACTIONS

1	NAME OR TITLE <i>S/Asst</i>	INITIALS		CIRCULATE
	ORGANIZATION AND LOCATION	DATE		COORDINATION
2				FILE
				INFORMATION
3				NECESSARY ACTION
				NOTE AND RETURN
4				SEE ME
				SIGNATURE
REMARKS <i>1. No comment from SEC except that I believe P/P would be interested in this file</i>				
<i>Comment # 5</i>				
FROM NAME OR TITLE		<i>WHL</i>		DATE <i>13 April</i>
ORGANIZATION AND LOCATION		<i>SEC</i>		TELEPHONE

~~SECRET~~SUBJECT:

Security Responsibilities

TO: Col. Wyman, SEC FROM: S/ASST DATE: 10 Apr 53 COMMENT NO. 4

For such comment as may be required, and return.

EO 3.3(h)(2)
PL 86-36/50 USC 3605

William F. Friedman
WILLIAM F. FRIEDMAN
Special Assistant

3 Incls.
n/c

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DISPOSITION FORM

SUBJECT:
Security ResponsibilitiesEO 3.3(h)(2)
PL 86-36/50 USC

TO: C/S FROM: S/ASST DATE: 30 March 1953 COMMENT NO. 2

Upon receipt of Comment 1 and its accompanying documents, I asked Colonel Herrelko and a representative from Plans and Policy (Mr. Douglas, in the absence of Colonel Gregory) to discuss the problem outlined in Comment 1. In order to eliminate the possibility of misunderstanding on the part of persons in and in various agencies which might become involved in security or COMSEC matters pertaining to or falling within the jurisdiction of the United States Security Authority for NATO Affairs (USSA), it is suggested that these papers be referred to Colonel Herrelko for Comment and drafting of a suitable reply to the questions raised by Colonel Rubenstein, as set forth in par. 6 of Comment 1.

William F. Friedman
WILLIAM F. FRIEDMAN
Special Assistant

3 Incls:
N/C

cc: Col. Wyman

TO: S/ASST
THRU: C/S

FROM: C/SEC

DATE: APR 10 1953

COMMENT NO. 3
KMRoss/404/mec

1. On Thursday, 2 April 1953, Cdr. Bienvenue, OSD, met with Mr. Friedman, Col. Wyman, Col. Herrelko, Mr. Austin, and Miss Ross since it was felt that a meeting to discuss the subject would benefit all concerned.

2. Cdr. Bienvenue explained that his Office had been working for a year on the establishment of USSA (United States Security Authority for NATO Affairs). The terms of reference have now been approved by all except the Secretary of Defense but his approval is anticipated. Receipt in OSD of Standing Group papers referring to communication security and control of cryptomaterial led those who were engaged in the establishment of USSA to seek clarification

3. It was agreed that USSA, a national agency responsible for NATO security within the United States and its agencies abroad, is mainly concerned with physical security. Cdr. Bienvenue was advised that would come under the Department of Defense, which in turn is under USSA; that USSA is therefore ultimately responsible for the physical security of the National Distribution Agency (NDA). It was emphasized, however, that physical security rules were only a take off point for communication security regulations which are of a specialized nature and laid down by communication security organizations.

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EO 3.3(h)(2)

PL 86-36/50 USC 3605

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SUBJECT: [REDACTED]

Security Responsibilities

DATE: APR 10 1953

COMMENT NO. 3 (Contd.)

4. It was pointed out that the SGM 303-53, which requests each NATO nation to designate a National Distribution Authority, has not yet been answered by SecDef. A proposed draft reply from SecDef to the [REDACTED] which will formally [REDACTED] was given to Cdr. Bienvenue.

5. Under the terms of reference of USSA, the Secretaries of the Military Departments and heads of other agencies of the Department of Defense dealing in NATO matters are delegated the performance of the maintenance of NATO security, etc. within their areas of jurisdiction. Therefore, no change in [REDACTED] present method of operation is anticipated.

7. As a result of the above meeting no written reply to Col. Rubenstein is required.

3 Incls:
n/c

F/E Herrelko
F/E HERRELKO
COLONEL, USAF
CHIEF, OFFICE OF
COMMUNICATION SECURITY

~~SECRET~~

EO 3.3(h)(2)
PL 86-36/50 USC 3605

SECURITY CLASSIFICATION (If any)

~~SECRET~~**DISPOSITION FORM****SECURITY INFORMATION**

FILE NO	SUBJECT			
		Security responsibilities		
TO	S/ASST	FROM	SEC	DATE 27 March 53
				COMMENT NO 1

1. This morning Col Rubenstein and Cmdr Bienvenue of the Administrative Security Section of Mr. Stohl's office, Office Secretary of Defense, came to me for consultation and advice concerning the subject. They also briefed me on the OSD interest. I informed them that I would discuss this matter with you and secure your advice as to with whom this matter should be discussed on a policy level. I indicated that it would probably be you, a representative from C/SEC and one from P/P.

2. In April of 1951 (?), DC 2/7 was published by (I believe) the NATO authority. The first paragraph of this paper states "each member nation will establish a national security authority responsible for NATO security within that country and its national agencies abroad." To date, all other countries have established such an authority, the U.S. is about to.

3. In 1951, this matter was referred to the Inter-Departmental Committee on Internal Security. They returned the paper in May 1952 to NSC saying that they were not the appropriate agency since this paper involves international relations and their own authority does not go beyond the inter-departmental level. They recommended that a committee be set up with representation from the Department of State, Department of Defense, Treasury Department, and the Mutual Security Agency (these were selected because of their representation on the NATO Council). It was recommended that this Committee agree among themselves as to who should be the National Security Authority for the U.S. in the sense of the above quote. It was decided that the Secretary of Defense would be the U.S. Security Authority, that he would be assisted by an advisory committee composed of representatives of those four agencies, and that his

4. It is understood by me that USSA is not under the cognizance of the National Security Council but is a separate authority for NATO affairs. Drafts of (a) Terms of Reference for the U.S. Security Authority for NATO Affairs, (b) USSA Instruction 1-53, which will promulgate the above, and (c) a Department of Defense Directive for the implementation of USSA Instruction 1-53 in the Department of Defense are inclosed herewith. Item (a) above has been coordinated with the Agencies concerned and has been approved by all of them. It has not been approved yet by the Secretary of Defense but such approval is anticipated. Before its promulgation it was desired that the responsibilities of the various Agencies be delineated. These responsibilities are outlined in Item (b) and the implementing directive for the Department of Defense is, of course, Item (c).

EO 3.3(h)(2)

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PL 86-36/50 USC 3605

27 March 1953

5. These papers have been under preparation for some time. The arrival in OSD of SGM 303-53, 3 Mar 53, Subj. Plans for the Production, Distribution, Accounting and Security Control of [redacted], classified SECRET, which authorized direct communication between the agencies concerned and the standing group agencies, and of SGM 324-53, 6 Mar 53, Subj: Allied Communication Instructions--Security, ACP (122(B)), classified RESTRICTED, which has

[redacted]

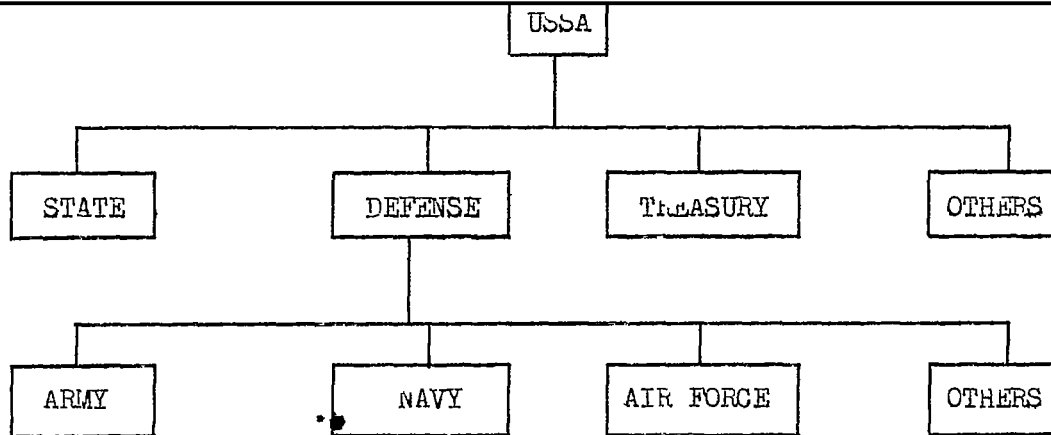
It is understood that in non-European affairs

[redacted]

matters.

6. Col Rubenstein's questions are

[redacted]



7. I was completely off base on this subject but believe I should be informed and will be happy to arrange for such further conference with OSD as you may recommend. I do not have copies of DC 2/7, SGM 303-53 nor of SGM 324-53, perhaps P/P or C/SEC has them.

Leslie H. Wyman
LESLIE H. WYMAN
Colonel, Artillery

3 Incls

- 1. Terms of Ref for US Sec Auth for NATO Affairs (Draft)
- 2. USSA Instr 1-53 (Draft)
- 3. Dept of Def Directive (Draft) 2

TERMS OF REFERENCE
FOR THE
UNITED STATES SECURITY AUTHORITY FOR NATO AFFAIRS

I. INTRODUCTION

The Council Deputies of the North Atlantic Treaty Organization (NATO) agreed that each member nation establish a national security authority responsible for NATO security within that country and its national agencies abroad (NATO Document D. C. 2/7 (Final)). These Terms of Reference establish the United States Security Authority for NATO Affairs (hereinafter referred to as the "USSA") and set forth its composition, responsibilities and administration.

II. DEFINITION

The term, security, as set forth in these Terms of Reference shall be limited in meaning and scope as used in NATO Document D. C. 2/7 (Final).

III. COMPOSITION

A. The USSA shall be the Secretary of Defense.

B. He shall be assisted by a committee composed of one member and one alternate appointed by each of the following:

Secretary of State
Secretary of the Treasury
Secretary of Defense
Director for Mutual Security

The Department of Defense member shall be the Chairman.

This committee, named "The Advisory Committee to the United States Security Authority for NATO Affairs (hereinafter referred to as the "Committee"), will sit in an advisory capacity only. The Committee will study all matters of policy and render an advisory opinion to the USSA, prior to decision by that authority.

IV. RESPONSIBILITIES

A. The USSA shall be responsible for the following.

1. NATO security within the United States and its agencies abroad.
2. Formulation, interpretation and dissemination of policy on handling NATO security matters to all United States departments and agencies involved.

3. Deciding security issues for NATO affairs of the United States on which United States departments and agencies are not in agreement.
4. Screening, clearance and certification of all United States citizens who have access to COSMIC information, be it in national or international agencies.
5. Release of COSMIC documents to United States departments and agencies.
6. Maintaining direction and control of the Central United States Registry.
7. Devising such emergency security plan as is best adapted to local conditions in coordination with national security authorities on the spot and promulgation of such instructions as are considered necessary to prevent key COSMIC personnel, COSMIC papers, correspondence, cyphers, and equipment from falling into hostile hands.
8. Supervising the functioning of the NATO security system in the United States departments and agencies involved, including COSMIC registries and the COSMIC system of communications.

B. The USSA may delegate the performance of functions in connection with these responsibilities to heads of departments and agencies concerned for areas within their respective jurisdiction, subject to such over-all policies, procedures, instructions and programs as he may prescribe.

V. ADMINISTRATION

A. USSA

1. The USSA shall maintain close coordination and cooperation, as appropriate, with governmental departments, agencies, and groups on matters under consideration.
2. The Secretary of Defense shall provide such administrative support as may be required in the discharge of his responsibilities under these Terms of Reference. Administrative support, as may be required in the discharge of functions delegated pursuant to Section IV B above, shall be provided by the heads of departments or agencies to whom such functions have been delegated.

B. Advisory Committee to the USSA

1. The USSA may invite non-member agency representatives as ad hoc members to the Committee when matters of interest to such non-member agencies are under consideration.
2. The Committee may establish such ad hoc and working committees as may be necessary for the purpose of discharging its responsibilities.
3. Departments and agencies designating representatives to serve on the Committee or such ad hoc and working committees as may be established shall certify to the USSA that each representative has been granted a COSMIC clearance.

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SECURITY INFORMATIONUSSA 1-53
DRAFT
9 Jan 53United States Security Authority
for NATO Affairs

(2)

USSA INSTRUCTION 1-53

SUBJECT: United States Security Authority for NATO Affairs

References: (a) NATO Document D. C. 2/7 (Final), dated 8 April 1952
(b) NATO Document SGM-2014-52, dated 3 September 1952
(c) NATO Document SGM-201-50, dated 20 July 1950

Enclosure: (1) Terms of Reference for the United States Security Authority for NATO Affairs

I. PURPOSE

A. The purpose of this INSTRUCTION is:

1. To promulgate the terms of reference establishing the United States Security Authority for NATO Affairs (hereinafter referred to as the "USSA"), and
2. To assign functions necessary to carry out the responsibilities of the USSA (Enclosure (1), Section IV).

II. ESTABLISHMENT OF THE USSA

The attached terms of reference have been approved by the Secretaries of State, Treasury, and Defense, and the Director for Mutual Security, and are promulgated for the information of all departments and agencies concerned. These terms of reference establish the USSA and set forth its composition, responsibilities and administration.

III. FUNCTIONS

- A. The heads of executive departments and agencies dealing in NATO matters are responsible for the performance of the following functions for areas within their respective jurisdiction:
1. Maintenance of NATO security.
 2. Implementation of policies established by the USSA on handling NATO security matters.
 3. Screening, clearance and certification of all United States citizens who have access to COSMIC information, be it in national or international agencies.

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4. Operation, direction, and control of COSMIC sub-registries.
 5. Devising emergency security plans and promulgating such instructions as are considered necessary to prevent key COSMIC personnel, COSMIC papers, correspondence, ciphers, and equipment from falling into hostile hands.
 6. Supervising the functioning of the NATO security system.
- B. The Secretary of Defense is responsible for the performance of the following additional function:
1. Maintaining direction and control of the Central United States Registry including release of COSMIC documents to United States departments and agencies.
- C. The head of an executive department or agency dealing in NATO matters may, without being relieved of his responsibility therefor, assign these functions to such officials of his department or agency as he may designate.

IV. POLICY

The policies established by the USSA in the implementation of NATO security regulations will be followed in performing the above functions. Pending issuance of such policies, the provisions of references (a), (b), and (c) will apply.

V. EFFECTIVE DATE

This INSTRUCTION is effective immediately.

United States Security Authority
for NATO Affairs

Enclosure (1)

DISTRIBUTION - Two copies to each of the following.

Secretary of State	Director, Central Intelligence Agency
Secretary of the Treasury	Chairman, National Security Resources Board
Secretary of Defense	Director of Defense Mobilization
Director for Mutual Security	U.S. Special Representative, Europe
Director, Bureau of the Budget	Dep. U.S. Rep., Standing Group, NATO
Executive Sec'y, National Security Council	Chairman, State-Defense Military Information Control Committee

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SECURITY INFORMATION

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SECURITY INFORMATIONDRAFT
9 Jan 53

DEPARTMENT OF DEFENSE DIRECTIVE

SUBJECT: United States Security Authority for NATO Affairs

References: (a) NATO Document D. C. 2/7 (Final), dated 8 April 1952
(b) NATO Document SGM-2014-52, dated 3 September 1952
(c) NATO Document SGM-201-50, dated 20 July 1950

Enclosure: (1) Copy of USSA INSTRUCTION 1-53

I. PURPOSE

To implement within the Department of Defense the functions assigned to the Secretary of Defense by USSA INSTRUCTION 1-53 (Enclosure (1) hereto) issued by the United States Security Authority for NATO Affairs (hereinafter referred to as the "USSA").

II. FUNCTIONS

- A. The Secretaries of the Military Departments and heads of other agencies of the Department of Defense dealing in NATO matters are responsible for the performance of the following functions for areas within their respective jurisdiction:
1. Maintenance of NATO security.
 2. Screening, clearance and certification of all United States citizens who have access to COSMIC information, be it in national or international agencies.
 3. Operation, direction, and control of COSMIC sub-registries.
 4. Devising emergency security plans and promulgating such instructions as are considered necessary to prevent key COSMIC personnel, COSMIC papers, correspondence, ciphers, and equipment from falling into hostile hands.
 5. Supervising the functioning of the NATO security system.
- B. The Secretary of the Army is responsible for the performance of the following additional function:
1. Operation, direction, and control of the Central United States Registry, including release of COSMIC documents to United States departments and agencies.
- C. The head of a Military Department or other agency of the Department of Defense dealing in NATO matters may, without being relieved of his responsibility therefor, assign these functions to such officials of his department or agency as he may designate.

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III. POLICY

Pending issuance by the USSA of policies implementing the NATO security regulations, which will be promulgated within the Department of Defense by appropriate directive, the provisions of references (a), (b), and (c) will be followed in performing the above functions.

IV. EFFECTIVE DATE

This directive is effective immediately.

Enclosure (1)

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