TO: FOIA/PA Office
Q43
National Security Agency
Fort George G. Meade, Maryland 20755-6000

FROM: Information and Privacy Coordinator, Central Intelligence Agency

SUBJECT: FOIA/PA Request of Mark A. Allen (FOIA LITIGATION)
CIA FOIA/PA No. F81-0351 CA81-2543

In connection with review of CIA files responsive to the above request, the following material was surfaced.

A. FOR YOUR DIRECT RESPONSE TO THE REQUESTER:

[ ] ______ document(s) which originated with your agency and is/are being referred to you for direct response to the requester (enclosure ____). We will advise the requester of this referral.

  a. Document(s) numbered __________________________. We have identified the CIA-originated information in the document(s), and have no objection to its release.

  b. Document(s) numbered __________________________. We have been unable to identify any information as having originated with this Agency. If, as a result of your review of the source documents, information is identified which originated with this Agency, you are requested to coordinate this information with us prior to any release to the requester.

  c. Document(s) numbered __________________________. Deletions have been made in each enclosed copy which consist of non-substantive internal markings, such as filing instructions. Since these markings will not appear on your file copy, no deletion or exemption for deletion should be necessary. If, however, you are unable to locate your file copy and must use the enclosed copy; for your response, the requester should be advised that non-substantive deletions have been made by this Agency under [ ] FOIA exemption (b)(3)/(4) PA exemption (k).

  d. Document(s) numbered __________________________. Substantive information has been deleted from this material as marked. The requester should be informed of this denial and of the FOIA/PA exemption(s) claimed. Please see additional information given under Comments.

[ ] Additional information about this/these document(s) is given under Comments.

B. FOR YOUR REVIEW AND RESPONSE BACK TO THIS AGENCY:

[ ] ______ CIA document(s) containing information provided by your Agency (enclosure ____). Please review your information (bracketed in red), and return the document(s) to us, marking any deletions you deem appropriate, citing FOIA/PA exemptions claimed, and stating the current and proper level of any classified information. In your response, please cite the date of this memo, the name of the requester, and the CIA case number. FOIA exemptions (b)(1) & (d)(3) have been claimed by our Agency.

[ ] Additional information about this/these document(s) is given under Comments.

A copy of the requester’s initial letter and any other significant correspondence are enclosed for your records (enclosure ____). If you have any questions, please contact Deborah A. Kircher on 351-2561.

COMMENTS Last page of the attached is for your review only. Additional pages are for reference only. Classification markings left on attachment pending NSA review.
John G. Pittman
From the (USA) documents (travel)
dated
(1) 14 April 1970
(2) 11 March 1976
(3) 7 May 1970
(4) 25 June 1969

SECRET
Central Intelligence Agency
Mr. Lee S. Strickland
Chief, Information Review Staff
1107 Ames Building
Washington, DC 20505

ATTN: Deborah A. Kircher

1. This responds to your memorandum(s) dated 21 December 1987.

2. Your memorandum(s) forwarded for review:

   - [X] Document(s) originated by NSA/CSS.
   - [X] Document(s) not originated by NSA/CSS but containing NSA/CSS information.
   - [X] Appropriate deletions have been made pursuant to the exemptions checked below. The remaining NSA/CSS information may be released to the requestor.
   - [X] The information is protected from disclosure pursuant to the exemptions checked below.
   - [X] 5 U.S.C. 552(b)(1) - The information is properly classified in accordance with the criteria for classification in Section 1-3 of Executive Order 12356.
   - [X] 5 U.S.C. 552(b)(3) - The specific statute(s) is/are listed below.

   - [X] The disclosure that the information contained in your record(s) is the result of sensitive compartmented information requires additional special protection and handling procedures. Please ensure that, in all instances where the information in your record(s) is associated with this agency as the originator, or in any other way reveals sensitive compartmented information as the source, the record(s) and all other such indicative records is/are afforded the appropriate protection.

   - [X] We do not wish to be publicly revealed as the originator of the information contained in the record(s) as this identification in itself may be a disclosure of classified information. In addition, we do not wish to publicly assert our exemptions pursuant to 18 U.S.C. 798 and Public Law 86-36 since the use of these statutes and association with NSA/CSS could reveal that the information contained in the record(s) was derived from sensitive compartmented information sources. This revelation, together with the specific description by the requester of the kinds of information or records sought, would disclose classified information about intelligence sources and methods. Therefore, we would appreciate your responding directly to the requester on behalf of, but without mentioning, NSA/CSS, asserting the exemption(s) indicated in paragraph(s) above.

   - [X] The classification of certain information in the enclosed record(s) has been changed. Please mark all copies accordingly.

3. Additional comments

   See attached.

4. If you have any questions or comments please call:

   Rona Lerner

   Phone: 688-6527

   Downgrade to CONFIDENTIAL upon removal of enclosures.

   Sincerely,

   [Signature]

   JULIA B. WETZEL
   Director of Policy

A793DA.4.84 NSN: 7540-FM-001-1554
The information highlighted in yellow should be protected pursuant to the exemptions cited in blocks 5 and 5. As indicated in block 10, we do not wish to be publicly identified as the originator of the information or the source of any redactions. In citing 5 U.S.C. 552 (b)(3), cite only 50 U.S.C. 403(d)(3).
Serial: J9001

cc: Q43

Concur U: CPT USA 28 Jan 88

M/R: (S-CCO) FOIA referral of a document containing a list of the dates of NSA reports used by the CIA to compile records pertaining to U.S. defectors to the USSR. The document was located pursuant to a request for records between the CIA and the U.S. House Select Committee on Assassinations relating to President Kennedy.

(S-CCO) The document with the NSA information is not classified in and of itself. Its association with records pertaining to the Kennedy assassination is also not classified. The association with the records pertaining to defectors to the USSR, however, is classified SECRET-CCO. We are, therefore, advising the CIA that the identification of NSA on the document is to be redacted pursuant to b(1) and b(3)/403(d)(3). We ask that CIA not name NSA as the source of the information or redaction.

R. Lerner, Q43, 963-5825, 26 Jan 88
MEMORANDUM FOR THE RECORD

SUBJECT:  "American Defectors to the USSR"

1. The attached material was part of a soft file entitled "American Defectors to the USSR", which was set up by [redacted] around 1960 and maintained by various components until ca. 1963. The compilations were derived from a variety of sources, and contain both classified and overt data.

2. In the fall of 1966, the files were turned over to [redacted]. In most instances, basic information was then abstracted [redacted]. In all instances in which the material was unique, or represented a valuable collation effort, it has been incorporated into the appropriate 201 file, along with a copy of this memorandum.

3. It is suggested that any dissemination of this data should be coordinated with [redacted] and with [redacted], in view of the frequently inadequate sourcing and of the fact that disseminations have already been made.
Both are in Moscow, Communists, working as correspondents for "The Worker".

19 Aug 60