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(U) Cryptologic Almanac 50th Anniversary Series

(U) No Such Agency

(U) These days it takes either a highly specialized or a very brave author to write about World War II without taking cryptology into account. This was also true to a somewhat lesser extent about many aspects of the Cold War.

(U) At NSA today, Public Affairs Officers interact with the media and individual inquirers with something more than "No Comment."

(U) It was not always so. Traditionally, NSA maintained a very low public profile, characterized particularly by an aversion to media exposure. For the early decades of its existence, most seniors at the Agency argued that any public discussion of cryptology served only to heighten the security awareness of target nations, and was to be avoided as much as possible. This was the era when local jokes had it that the initials NSA stood for "No Such Agency," or, alternately, "Never Say Anything."

(U) These two peripherally related articles discuss in a general way how cryptologic history "went public," then how the National Security Agency transformed from "No Such Agency" to "Nothing Sacred Anymore."

PART I

(U) Cryptology has long been a staple of mathematics departments at many universities and some high schools. The academic field greatly expanded in the 1960s and 1970s when advances in communications technology and the Internet created increased commercial applications for what had been largely theory.

(U) Cryptologic history, however, was little studied until the 1980s, due to the scarcity of source material. The secret war behind the shooting wars was known only to specialists and then only in part. Generally, it went unappreciated by historians.

(U) Some information about the history of codes and cryptanalysis was, of course, available. The strategic release of the Zimmermann Telegram during World War I, for example, resulted in a small but steady stream of literature about codebreaking during the Great War.

(U) In 1931 Herbert O. Yardley, chief of America's first peacetime civilian intelligence

agency in the 1920s, revealed its existence -- and its accomplishments -- by publishing a "tell-all" memoir, *The American Black Chamber*. Whatever his morality, Yardley was a good storyteller, and the book became a best-seller, heightening awareness of cryptanalysis among the general public and target nations alike. (By the way, due to a loophole in the espionage law, Yardley was not prosecuted for breaking silence. The loophole has since been plugged.)

(U) Immediately after World War II, Congress began public hearings into the disaster at Pearl Harbor. In the turmoil between a Republican Congress and a Democratic administration, testimony revealed that the United States had solved the Japanese diplomatic code before the war, leading some to suggest that President Franklin Roosevelt had had prior knowledge of Japanese intentions but acted irresponsibly. The hearings failed to make a convincing case for this, but, with the secret about codebreaking now in the open, they provided fodder for generations of conspiracy theorists.

(U) Five important books in the 1960s and 1970s sparked the modern rising tide of revelations about official cryptology.

(U) A professor and government consultant named Roberta Wohlstetter published *Pearl Harbor: Warning and Decision* in 1962. Dr. Wohlstetter's book discussed decision-making processes prior to the disaster in Hawaii, including the role of cryptanalysis. The study provided interesting insights into how government bureaucracies act, and was highly influential among scholars; it remained, however, little known to the general public. If it didn't coin the term "noise" (the overwhelming amount of worthless material hiding valuable nuggets of information), Dr. Wohlstetter's book popularized it among academics.

(U) In 1967 David Kahn published *The Codebreakers: the Story of Secret Writing*, a 1,164-page compendium of cryptography and cryptanalysis from ancient times to the "threshold of outer space," as the book's blurb put it. Kahn, a journalist at New York's *Newsday*, holds a doctorate in history from Oxford; as a youth, he had read a popular history of codes, *Secret and Urgent* by Fletcher Pratt, which stimulated a lifelong fascination with them. *The Codebreakers* included a lengthy chapter on NSA, compiled from the few available open sources; Kahn's description of the Agency was riddled with inaccuracies, but it was the first major literature on the subject. Unlike Wohlstetter's book, Kahn's tome sold well to the public, despite its massive size and hefty price.

~~(U//FOUO)~~ NSA seniors, believing as they did that any attention drawn to the subject was bound to result in a loss of sources, discussed possible ways to minimize what they perceived as damage to American security. In the end, they decided there was nothing to do but ride it out, and merely issued instructions to NSA employees and the Service Cryptologic Elements not to comment on Kahn's book.

(U) Also in 1967, Ladislav Farago, author of popular military histories, retold the story of the Pacific War from an intelligence perspective in *The Broken Seal*. Farago included a considerable amount of information about cryptologic organization and activity from open sources. His book sold well and served as one of the inspirations for the motion picture *Tora, Tora, Tora*. The film, by the way, unfolding in semidocumentary style, devoted considerable screen time to prewar cryptanalytic efforts in addition to a faithful rendition of the Japanese attack on Hawaii.

(U) Up to this point, print discussions of World War II codebreaking had been largely confined to rehashing what little was known about the American effort against the Japanese. Virtually nothing had been released, and therefore virtually nothing was written, about the extensive Allied effort against German systems. It is a tribute to the discretion of the thousands involved in COMINT in the European Theater that the secret had never been divulged. But this changed in 1974.

(U) In that year, a former officer in the Royal Air Force who wished to impress the younger generation with how near a thing victory in World War II had been and also to pay tribute to wartime cryptologists before their generation passed away, F. W. Winterbotham, wrote *The Ultra Secret*. This book revealed for the first time the very great extent to which British and American cryptanalysts had exploited German codes and ciphers and how the inside information had been used.

(U) Winterbotham's book was flawed, but its influence was enormous. The British government had declined his request to review wartime documents, and he therefore had to write strictly from memory. Since he had been involved in distributing COMINT, not preparing it, his description of the production process was somewhat skewed. *The Ultra Secret* also generated a number of "urban legends" about wartime COMINT that persist to this day, but, by revealing the existence of COMINT in the European war, it stimulated the further release of information.

(U) With the "lid off," other British and American participants in wartime COMINT began publishing their memoirs, some with and some without their government's permission. This cottage industry on wartime COMINT put a great deal of information into the public domain in a fairly short period of time.

(U) Historians may be argumentative by nature, and heated discussions about the Second World War were and are a common aspect of academic life. However, most historians had assumed by the 1970s that almost all major facts about the war were available, and arguments would only revolve around what those facts meant. With the revelations concerning cryptology, historians recognized they now confronted a completely hidden aspect of the war that would force them to re-evaluate and re-argue most of the events, personalities, and decisions of the war. Their joy was unconfined.

(U) If anything, historians' interest in wartime COMINT was exceeded by that of veterans and their families. Here was a source that gave the hitherto unknown background of events they had participated in. Many regarded the material as a kind of key to help understand more fully the events that had made up a significant portion of their lives.

(U) Both historians and veterans actively sought additional releases of cryptologic information about the war.

(U) Since the United States and Great Britain had worked together under bilateral agreements to exploit Japanese and German systems in World War II, it was necessary to coordinate any new policy on declassification. NSA and GCHQ agreed to a carefully defined program of releases, with U.S. documents to go to the National Archives, British documents to the Public Record Office.

(U) Confronted with millions of pages of documents that potentially could be released, and realizing that some of them might still need protection, NSA sought more limited releases at first. The staff of declassification officers, a few reemployed annuitants, reviewed and redacted (i.e., blacked out portions still considered sensitive) key prewar and wartime documents and released them as SRHs -- Special Research Histories -- to the National Archives.

(U) Although researchers found the SRHs useful and interesting, this self-censorship satisfied nobody. Historians wanted originals. Furthermore, changes to declassification policies and the Freedom of Information Act (FOIA) in the 1970s required more openness in declassifying documents. Eventually, DIRNSA Admiral Bobby Inman decided to proceed with more declassification of records from World War II. The processing effort was expanded.

(U) Increased declassification meant more published histories that dealt exclusively with or incorporated COMINT. And ongoing declassification meant continuing interaction with scholars and other interested members of the public. Several successive NSA directors or deputy directors used the Public Affairs Office or Center for Cryptologic History as a point of contact for this effort.

~~(U//FOUO)~~ The fifth book that heightened awareness of cryptology and helped shape NSA's public image was James Bamford's *The Puzzle Palace*, published in 1982. Bamford was an intrepid researcher who combined information already in the public domain with documents obtained through the FOIA process and with interviews. His book contained a number of inaccuracies and exaggerations, but provided a generally rounded portrait of the Agency where none had previously existed. His book also generated considerable negative comment in the workforce and ill will toward some of his sources.

(U) One additional large declassification action also captured the attention of historians, media persons, and the general public, and helped change the way Americans viewed another part of their past.

(U) "VENONA" was a made-up word for a project that exploited espionage communications from the USSR. Access to parts of Soviet wartime espionage messages helped the Federal Bureau of Investigation identify dozens of Americans who had spied for the Soviets and was the hidden basis for many spy cases in the 1940s and 1950s. However, with diminishing returns for the Agency's efforts in the 1980s, the program was terminated and VENONA was put into storage.

(U) The person who "turned out the lights" on VENONA, as he liked to say, was William P. Crowell, and by 1995 Bill Crowell was NSA's deputy director. Several successive directors had decided NSA must interact more with the outside, and the D/DIR believed that, like it or not, history constituted 85 percent of what NSA could talk about in any unclassified venue. Crowell wanted to declassify VENONA, believing that the positive story would reflect credit on the Agency; the DCI also believed release of the story would benefit the entire intelligence community. About the same time, NSA received a strong appeal on VENONA under the FOIA law. The appeal only served to convince Crowell that it was time to declassify the VENONA translations.

~~(U//FOUO)~~ Crowell created a group to expedite release of VENONA, including declassifiers, public affairs officers, attorneys, and historians, centered in the Office of Policy. Considerable effort was expended in coordination with the Federal Bureau of Investigation and other government entities that might have equity in the VENONA project.

(U) VENONA was released publicly in a joint NSA-CIA gala ceremony at CIA headquarters in July 1995. Senator Daniel Moynihan, who had an academic interest in the VENONA period, was a prominent participant.

(U) Once released, VENONA spurred historians to re-evaluate aspects of early Cold War history, much as the release of World War II cryptology had impelled many reconsiderations of wartime events. Historians and journalists took VENONA seriously, but an inevitable by-product was heightened awareness of NSA and cryptanalysis.

(U) By the mid- to late 1990s, cryptologic history had taken its place beside cryptologic mathematics as an academic subject. Ample data were now available, and the continuing declassification program fed hungry and argumentative historians.

PART 2

(U) According to a probably apocryphal but possibly true tale, Roy Banner, senior attorney at NSA, approached Director Lew Allen after the congressional investigations of the 1970s had put NSA on the front pages, and volunteered to handle his public relations. "Bad career move," the director was supposed to have replied, "I don't intend to have any."

(U) For most of its existence, NSA successfully maintained a low profile, punctuated by occasional short periods of media notoriety, as when Martin and Mitchell defected in 1960, or with disasters such as the LIBERTY incident in 1967. From the mid-1960s on, even as academics, novelists, and movie producers increasingly portrayed the Central Intelligence Agency as a "secret government" or as full of rogue agents spinning nefarious plots, news media and fictioneers alike generally ignored NSA. The Agency's management, which believed there was no such thing as good publicity about cryptology, was quite content with that.

(U) Stimulated by the revelations of a series of books about cryptology, NSA accelerated a program of declassification of World War II documents that generated additional interest in the organization and activities. Quite apart from this public attention, however, NSA in the 1970s took some small steps that eventually led to greater interaction with the media and public.

(U) In 1979 the director of the Smithsonian Museum of American History asked NSA's director, Admiral Bobby Ray Inman, for assistance in preparing an exhibition of cipher machines. Inman responded positively, and authorized lending items from World War II, including a German ENIGMA machine and a U.S. SIGABA (carefully modified to remove some still secret workings). The two directors opened the exhibit on 26 February 1981. A few years later, NSA lent the Smithsonian the last remaining cryptanalytic bombe from World War II, arguably the crown jewel of NSA's artifact collection.

(U) The Smithsonian exhibits were an important first step in educating the public about the crucial and beneficial role of cryptology in American history.

(U) In addition to the modest declassification program discussed in Part 1, the Freedom of Information Act (FOIA) caused releases of information about cryptology or NSA. In 1966, in response to public fears that the classification system was being used to hide government misconduct or mistakes, Congress passed the FOIA law, which empowered citizens to request documents or other information, classified or not, from government organizations. The government organization involved had to release the requested materials or justify nonrelease on specific grounds, "protection of intelligence sources or methods" being one.

~~(U//FOUO)~~ NSA released some documents to FOIA requesters, mostly dealing with administrative matters, but in the early days was generally successful in forestalling release of sensitive material on national security grounds. However, FOIA requesters had the right

of administrative appeal and also could take the government to court if their request were refused. Increasingly, courts became less willing to accept a blanket statement of "national security" as a reason for denying release of material. But, even though courts sided more often than before with plaintiffs, the burden of proof was still on the requester to justify why material should be released.

(U) With the end of the Cold War and the demise of America's primary adversary came a widespread feeling in the public and among some in government that much of the secrecy that had shrouded defense and intelligence matters was no longer necessary. While some things would still require protection, this trend of thought went, American taxpayers deserved to see what they got for their money. This trend culminated in Executive Order 12958, issued by President Bill Clinton on April 17, 1995. This EO mandated review of all nonexempt documents 25 years old or older; release of these documents would be automatic if they were not reviewed. The EO also reversed the philosophy behind releases: the burden of proof was now on the government to justify keeping a document classified, and the grounds for exemption were narrowly defined.

(U) In response to the executive order and the new orientation, NSA's Archives and Records Center, working with private industry, designed an automated system for support of document review. This resulted in a "declassification factory," which began operations in 1998, and which put NSA in the lead in the intelligence community in reviewing its document holdings.

(U) A succession of directors beginning with Admiral William O. Studeman in the early 1990s made decisions to become more involved with the surrounding community. With the end of the Cold War there was less justification for the traditional low profile, and, in fact, some benefits might be obtained by a more visible public presence in the changed atmosphere.

(U) The Agency's leadership realized that NSA was one of the largest employers in central Maryland and that the organization and its employees were significant consumers of county or state services as well as heavy contributors to them. Studeman made some public speeches, unusual if not unprecedented for a DIRNSA, and had NSA officials interact with the Baltimore-Washington Parkway Chamber of Commerce or state and county agencies.

(U) The leadership decided other public activities would benefit NSA directly or indirectly. For example, NSA had a stake in ensuring excellence in mathematics instruction, since it would expect to recruit heavily in that discipline for years to come. The Agency therefore undertook initiatives to foster good teaching in local schools and universities, and to provide instructional resources.

(U) Although a more advanced public posture still made many inside the

fenceuncomfortable, NSA's anonymity, once breached, could not be restored.

~~(U//FOUO)~~ In November 1965 DIRNSA Marshall Carter named a part-time NSA liaison officer with the Assistant Secretary of Defense for Public Affairs. In December 1966 the title was changed, the position became full time, and the incumbent was placed on the director's staff. In July 1973 another title change created the Agency's "Public Affairs Officer"; by the end of the year, the PAO was resubordinated from the director's office to the Policy staff.

(U) Today's Public Affairs Office began as a desk-level operation in the FOIA Office in the late 1980s, then was raised to a two-person team in the Office of Information Policy. The PAO maintained a strictly reactive posture, and it was understood that its purpose was to make media representatives go away -- with a smile, if possible, but to go away!

(U) Discussions of a more active media policy began under Admiral McConnell, relating to some of the issues discussed above. However, initially it was felt that while NSA was becoming more involved with the community, as a corporation it was not ready to engage in additional openness with the media. Two programs of the mid-1990s forced NSA into a more open stance. Ironically, both originated with NSA itself.

~~(U//FOUO)~~ The opening of the National Cryptologic Museum in December 1993 forced NSA to interact directly with the public and the media. The PAO confronted unforeseen questions such as how to allow photography on Agency grounds. Once the media discovered the museum -- the first major mention was a tongue-in-cheek piece in the Washington Post in early 1994 under the title "Only Sleuths Can Find This Museum" -- inquiries from other media increased exponentially. Each wanted something different, perhaps something more, than their competitors.

~~(U//FOUO)~~ The second issue that forced NSA into a more public stance was the Clipper Chip. Dealing with public cryptography of increasing strength, NSA became a proponent of clipper chip -- the chip was a computer encryption system in which the key for decryption would be filed in "escrow" and would be obtainable by law enforcement authorities only if their evidence was sufficient to convince a judge to issue a warrant. This proposition raised the suspicions and hackles of many segments of the public as a challenge to privacy rights; NSA became a participant, and ultimate loser, in the national debate that ensued.

~~(U//FOUO)~~ When Air Force general Kenneth Minihan succeeded Admiral McConnell as DIRNSA, the new director recognized a need to find positive stories about the Agency that could be presented publicly. The museum was fodder for many articles and broadcasts, but additional stories were released about activities, such as technical research, that could be told without harm to operations. The trend was continued, even accelerated, under General Hayden until the terrorist events of September 2001.

~~(U//FOUO)~~ In late 1996 the director, after nearly two years of discussion, agreed to one of the long-standing media requests for cooperation in a documentary television program about the Agency -- for the first time to include videotaping inside NSA buildings. The Public Affairs Office convened a working group to assist in facilitating the project. The group gently reworked a draft script submitted by the filmmaker, helping to eliminate some of its tendencies toward science fiction and inject reality about NSA in it. The production crew worked at NSA in April 1997, taping primarily in the museum (which was an unclassified, public area anyway) but also inside the headquarters building and on the campus under carefully controlled circumstances.

~~(U//FOUO)~~ The resultant documentary program, aired on the Discovery Channel, while not entirely free of error or sensational claims, presented a generally fair and balanced view of NSA. It had immediate positive impact-- and continues to do so, since educational channels usually rerun their programming into eternity.

(U) NSA had in small, incremental steps -- some of its own choosing, some forced on it by circumstances -- moved from an organization with an exceptionally low profile in the 1980s to one that was a "household name" in the 1990s.

(U) Public interaction had some desired effects on NSA's image, but there was a down side as well. Since NSA was now "newsworthy," media often devoted space or time to real or speculative information about NSA and SIGINT, revealing more than Agency personnel would have liked. Also, perhaps because of the novelty factor, academics, novelists, and movie producers increasingly portrayed NSA as a danger to the privacy rights of Americans, or as full of rogue agents spinning nefarious plots, the way they had once treated CIA.

(U) Even though major public events such as the Discovery Channel program came later in the decade, what epitomized NSA's public emergence for many in the workforce was the installation in March 1991 of a sign at the highway entrance to NSA's headquarters building. The buildings had been visible from the highway since the 1960s, and those who wanted to know the Agency's location, whether for good or ill, could pinpoint it easily, but no explicit identification had ever been erected. Given this previously prevailing culture of anonymity, the placement of a highly visible roadside signboard was a surprise, even a shock, to many employees.

(U) But there it was. A big block with a plaque, a blue background and the legend "National Security Agency." Not you-know-what.

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