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INTRODUCTION TO CRYPTOLOGY-VI

~~Confidential~~

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INTRODUCTION TO CRYPTOLOGY - VI

By William F. Friedman

This lecture, the sixth and last in this series, deals with cryptology in the period from the end of World War I to the end of World War II (unclassified material only). The emphasis in this lecture is upon communications security (COMSEC) because ^{not only} ~~most~~ of the information given in the five preceding lectures the emphasis ^{was placed} ~~was~~ largely upon communications intelligence (COMINT) but also because ^{although not as particular as COMINT,} COMSEC, in the final analysis, is really more vital ^{to national security} than COMINT.

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You will perhaps recall that in the very first lecture in this series reference was made to the role that COMINT (or "Magic") played ^{not only} in the events preceding ^{Japanese sneak} the attack on Pearl Harbor but ^{also} in the military, ~~and~~ naval, and air operations which followed that attack. This is not the place nor is there time to go into the complex problems involved in ^{ascertain the names of the persons and to} an attempt to ~~fix the responsibility upon~~ ^{the blame for being caught by surprise} them ~~whatever responsibility they may have~~. Millions of words have been published on this subject and I do not propose to add to that voluminous literature whatever thoughts I may have thereon.

INSERT Type this on legal size paper, original & one carbon triple space. Number 1(a), 2(a), 3(a) etc on this set of pages. [New intro-duction for Lecture Neb] (2)

Refer to index

This, the sixth and final lecture in this series on the history of cryptology, will be devoted to a presentation of events and developments of significance or importance in that history from the end of World War I to the end of World War II.

It would be entirely too ambitious a project even to attempt to compress, ^{within a lecture of only 50 minutes,} all that should or could be told in that segment of our history of cryptology. In a nutshell, however, it can be said that the most significant and important events and developments during that quarter of a century were directly concerned or connected with the advances made in the production of more complex mechanical, electrical and electronic cryptographic apparatus, and with the concomitant advances in the production of more sophisticated mechanical, electrical and electronic apparatus for the solution of the messages produced by these increasingly complex cryptographic machines. These two phases are inter-related because, ^{a sort of simple analogy,} to ~~use~~ cryptography and cryptanalysis represent the two faces of a single coin.

It would be nice if I could go a

bit into detail in regard to these increasingly complex matters but security considerations prevent my doing so because the classification of these lectures, viz, CONFIDENTIAL, is the lowest one now possible.

As to the advances in the development and use of more sophisticated cryptographic apparatus I will only note at this point a comment which

General Omar Bradley makes in his quiet but ^{very} interesting book entitled A Soldier's Story.¹

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space</sup> Signal Corps officers like to remind us that "although Congress can make a general, it takes communications to make him a commander."

It is immodest for me to try to amend General Bradley's remark but this is how I wish he had worded it:

Signal Corps officers like to remind us that "although Congress can make a general, it takes rapid and secure communications to make him a good commander."

This will in fact be the keynote of this lecture. In other words, communications security, or COMSEC, will be its main theme and the one I wish to emphasize.

¹ New York: Henry Holt and Co., 1951, p. 474.

But before coming to that part of our history perhaps a bit more attention must be devoted to events and developments of cryptanalytic significance or importance during the period 1918 to 1946. By far the most spectacular and interesting of these are the ones which were so fully and disastrously disclosed by the various investigations conducted ^{by the Army and Navy} very secretly while World War II was still in progress and both secretly and openly after the close of hostilities. The investigations were intended to ascertain why ^{our Army and Navy forces in Hawaii} we were caught by surprise by the sneak attack on Pearl Harbor by the Japanese on the morning of 7 December 1941. They were also intended to ^{ascertain and} pin the blame on whoever was responsible for the debacle. I don't think I should even attempt to give you my personal opinion on these complex questions, which were studied by seven different boards within the Services and finally by the Joint Congressional Committee on the Investigation of the Pearl Harbor Attack. I mentioned the latter investigation in my first lecture and now I must add to what I then said. The Committee published its findings

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conclusions and recommendations in 1946. It began its work in September 1945 with secret hearings but on 70 days subsequent to 15 November 1945 up to and including 31 May 1946 open hearings were conducted in the course of which some 15,000 pages of testimony were taken and a total of 183 exhibits received incident to an examination of 43 witnesses. The Committee put out a final Report of 580 pages to accompany a set of 39 volumes of testimony and exhibits. In the Report there was one by the Majority (signed by six Democratic ~~members~~ and two Republican ^{members}) and one by the Minority (signed by two Republican members). The Minority Report was not nearly as long as that of the Majority but it brought into focus certain troublesome points which still form the subject of acrimonious discussions and writings who believe the attack was "engineered" by President Roosevelt.

For this history the interesting fact is that both the Majority and Minority Reports contain glowing tributes to the role played by COMINT before and during our participation in World War II. In my first lecture I presented a brief extract in this regard taken from the Majority

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Report; but here is what the Minority Report says on the subject:

6. Through the Army and Navy intelligence services extensive information was secured respecting Japanese war plans and designs, by intercepted and decoded Japanese secret messages, which indicated the growing danger of war and increasingly after November 26 the imminence of a Japanese attack.

Indubitable
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single phrase

With extraordinary skill, zeal, and watchfulness the intelligence services of the Army Signal Corps and Navy Office of Naval Communications broke Japanese codes and intercepted messages between the Japanese Government and its spies and agents and ambassadors in all parts of the world and supplied the high authorities in Washington reliable secret information respecting Japanese designs, decisions, and operations at home, in the United States, and in other countries.

Although there were delays in the translation of many intercepts, the intelligence services had furnished to those high authorities a large number of Japanese messages which clearly indicated the growing resolve of the Japanese Government on war before December 7, 1941.

P. 514 of Report

P. 5 of NSA Technical Journal (Vol. & date), quoting from p. 232 of the Report of the Majority.

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The Majority Report made five main recommendations, of which the second is of special interest:

That there be a complete integration of Army and Navy intelligence agencies in order to avoid the pitfalls of divided responsibility which experience has made so abundantly apparent; that upon effecting a unified intelligence, officers be selected for intelligence work who possess the background, penchant, and capacity for such work, and that they be maintained in the work for an extended period of time in order that they may become steeped in the ramifications and refinements of their field and employ this reservoir of knowledge in evaluating material received. The assignment of an officer having an aptitude for such work should not impede his progress nor affect his promotions. Efficient intelligence services are just as essential in time of peace as in war, and this branch of our armed services must always be accorded the important role which it deserves.

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④ P. 253 of Report of the Majority.

I assume that due note of this ^{recommendation} has been taken by the services, but how far it has been possible and practicable to ^{by} to ensure that the recommendation has been carried out will be I do not know. In this connection I think it only to be of interest to cite what the distinguished commander whom I have already mentioned, General Omar Bradley, has to say on this point. ✓

In their intelligence activities at Allied Forces Headquarters, the British easily outstripped their American colleagues. The tedious years of prewar studies the British had devoted to areas throughout the world gave them a vast advantage which we never overcame. The American army's long neglect of intelligence training was soon reflected by the ineptness of our initial undertakings. For too many years in the preparation of officers for command assignments, we had overlooked the need for specialization in such activities as intelligence. It is unrealistic to assume that every officer has the capacity and the inclination for field command. Many are uniquely qualified for staff intelligence duties and indeed would prefer to devote their careers to those tasks. Yet instead of grooming qualified officers for intelligence assignments,

✓ Op. cit., p. 33.

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we rotated them through conventional duty hours, making correspondingly little use of their special talents. Misfits frequently found themselves assigned to intelligence duties. And in some stations G-2 became a dumping ground for officers ill suited to line command. I recall how scrupulously I avoided the branding that came with an intelligence assignment in my own career. Had it not been for the uniquely qualified reservists who so capably filled so many of our intelligence jobs throughout the war, the army would have found itself badly pressed for competent intelligence personnel.

Have some of you pondered over the reason why an officer who reaches the highest level of command in an army, ours as well as in foreign armies, is called a "general officer" or "General"? It is because he is supposed to have learned something about everything connected with military operations - he is not a specialist. But how much can a general officer know about complexities of such very important areas of ^{the} military business?

and operations such as are involved in modern engineering, electrical communications, guided missiles, rockets, etc, etc? How much can be learned without first-hand experience in the tricky business of ordinary military intelligence operations let alone the much more complicated business of cryptology as applied in modern military operations?

But let us leave these speculations, interesting as they may be, and continue with our history. Let us first dispose of ^{certain comments in the} COMINT area of that history.

However, there is one small but extremely significant piece of information involved in this matter and I will say a few words about it. You will recall that in the ~~very~~ first lecture I called to your attention an article which appeared in the 17 December 1945 issue of TIME magazine and which was based upon a letter ^{the late} General George C. Marshall, then Chief of Staff of the U.S. Army, ~~from~~ ~~to~~ ~~my~~ ~~wrote~~ to Governor Thomas E. Dewey, Republican candidate for President in the 1944 election campaign. ^{which was written on 27 Sept 1944} In that letter General Marshall practically begged Governor Dewey to say nothing during the campaign about a certain ^{very vital} piece of information which General Marshall had reason to believe had ^{become} known to ~~have~~ ^{been} Governor Dewey by persons not authorized to disclose it. The ^{information} dealt with the fact that the U.S. had ^{been} reading Japanese codes and ciphers even before the attack on Pearl Harbor. The vital point which General Marshall wanted to convey to Governor Dewey was that not only was ~~that~~ ^{the} piece of information which had surreptitiously ~~been~~ ^{been} given to Governor Dewey true

but more important were the facts that (1) the war was still in progress; (2) the Japanese were still using certain of the pre-Pearl Harbor cryptosystems, and (3) the U.S. was still reading ^{the secret communications in} these systems as well as certain other enemy communications. Therefore, it was vital that Governor Dewey not use the information which had come into his possession as to our reading Japanese ^{secret} communications prior to the attack on Pearl Harbor. I said in that first lecture that I might later give further extracts from TIME's account and, ~~here they are~~ continuing the extracts printed on pages 3, 4, and 5 of the first lecture, here they are:

Copy material
marked on accompanying photos in red

The Marshall-Dewey correspondence is so important in cryptologic history that I feel that the whole of it should be included, ^{even} in this brief history. When the letter was written it was,

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not only on the very day that General Marshall had to place
 it in evidence - the letter caused a great sensation in the
 news papers - but also

~~but more importantly, the war was still in progress~~
~~the Japanese were still trying to get the Pacific Islands~~
~~(and the U.S. was still pinned by the naval war)~~
~~other enemy communications. Therefore, it was vital~~
~~that Governor Dewey not see the information~~
~~had come into his possession, to our reading of~~
~~some communications prior to the attack on Pearl~~
 The letter is so important in cryptologic history
 that I feel the whole of it should be brought
 to your attention. When it was written it was,
 of course, TOP SECRET and it was only under
 great pressure by certain members of the Joint
 Congressional Committee on the Investigation of
 the Attack on Pearl Harbor, ^{that General Marshall} revealed the contents
 of the letter. Thus the letter came into the public
 domain when the ^{40 volumes of the} Hearings of that Committee were
 published, by authority of the Committee, ^{and} put on
 sale by the Superintendent of Documents of the
 Government Printing Office. The ^{disclosure of the contents of the} Marshall-Dewey
 were indeed such a sensation that LIFE magazine
 printed the whole of it in its issue of 17 December,
 1945, with the following introduction:

copy from LIFE-P19-21

So far as I am aware it has ^{never been accepted (if known)} ~~not~~ been disclosed, who gave
 Governor Dewey the information. But it is a fact that ~~the~~
 Dewey as a patriotic citizen, ^{deeded to General Marshall's request} ~~deeded~~ ^{to} General Marshall's request ^{the} ~~the~~
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^{whatever} made no use of the ^{info} ~~secret~~ information during the campaign, no after it, so far as I am aware. TIME'S account specifically states that Dewey "held his tongue. The War Department's most valuable secret was kept out of the campaign".

Except for a change in the first ^{two} and last paragraphs this letter is identical with the first letter. The ~~change~~

At the end of the ~~second letter~~ ^{the second letter as printed in parentheses and} "LIFE" there appears in italics ^{and} the following:

(The second letter then repeated substantially the text of the first letter except for the first two paragraphs.)

LIFE failed to note that ^{the last} two sentences in the penultimate paragraph of the "First Letter" were omitted from that paragraph in the "Second letter," but there is no explanation for the omission. Perhaps it was simply for the sake of brevity, but this seems improbable.

~~There is no explanation for this omission: perhaps it was simply for the sake of brevity.~~

In my first lecture (p. 4 of NSA Technical Journal No. 7, date?) I called attention to the fact that the account given in the TIME article gives credit to the Army cryptanalysts for providing the secret communications "Intelligence" which enabled the US Navy to win such spectacular battles as those of the Coral Sea and Midway and to waylay Japanese convoys, whereas the credit

for the communications intelligence which enabled our
 Navy to win these battles was produced by Navy
 cryptanalysts. One cannot blame ^{the editors of} TIME for making
 such a bad error because ^{the source of the error was} the letter which General
 Marshall's ^{letter itself} wrote ^{several years} ago I asked
^{my friend} Col. Clarke, who ^{had covered} General Marshall's letter to
 Governor Dewey and who was at the time a high
 level officer in G-2 ^{how such an error had crept into}
 General Marshall's letter, and ^{it was} told that the letter which
^{was} prepared for General Marshall's signature did
 not meet with the General's whole-hearted approval
 and that the General himself had modified it. Per-
 haps that is how the error to which I have
 referred crept into the letter. One could hardly
 expect General Marshall to be entirely familiar with
 the technical cryptanalytic details ^{in what he wanted to tell Governor Dewey;} involved ^{and} ~~not~~
^{not} ~~should~~ one. ^{Surprised} for not being able ^{to bear it} in his very busy days ^{and} under very heavy
 pressure of events, ^{to bear it} the differences between the enemy
 systems worked up by the ^{respective and separate} Army and ~~the~~ Navy
 cryptanalytic organizations. ^[Insert over]
 Since the ^{period during which the disclosures} disclosures made of the Joint
 Congressional Investigation, ^{were made, disclosures which were} so far as concerns ~~the~~
^{the} ~~important~~ ^{accomplishments of} the two services ~~accomplished~~ before and after the

Insert

It is, of course, possible, indeed it may be probable, that certain
EXMINT regarding the Battles of the Coral Sea and
of Midway, as well as other important naval operations,
came from messages read by Army
cryptanalysts, and this is what confused General
Marshall.

Pearl Harbor attack in the field of communications intelligence, much has been written and is now in the public domain regarding those accomplishments, but, ^{fortunately} no technical details of significance have been disclosed. Hints here and there are in abundance in the many books and articles that have been published by U.S. writers since the end of World War II; but more than hints of the great ^{part played by} COMINT ~~was~~ in U.S. military and naval successes are to be found in books and articles published by ^{American officers as well as by} officers of the beaten Japanese, and German, and Italian armed forces. Time does not permit ~~any~~ ^{in this lecture} citing ~~any~~ ^{of these} hints or definite statements, but the following ^{two} are of particular interest because they concern the ~~the~~ Battle of Midway, which is considered the one which turned the war in the Pacific from ^{a possible Japanese} victory to one of ignominious defeat:

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what is written
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It is the ~~extract~~ ^{above} extract which is of special interest to us at the moment, and, in particular, the portion which refers to "the negatively bad and ineffective functioning of Japanese intelligence." The Japanese author is a bit too severe on the Japanese intelligence organization. I say

failure on our part - a failure to take adequate precautions for guarding the secrecy of our plans. Had the secret of our intent to invade Midway been concealed with the same thoroughness as the plan to attack Pearl Harbor, the outcome of this battle might well have been different. But it was a victory of American intelligence in a much broader sense than just this. Equally as important as the positive achievements of the

enemy's intelligence on this occasion was the negatively bad and ineffective functioning of Japanese intelligence.

If Admiral Yamamoto and his staff were vaguely disturbed by the persistent bad weather and by lack of information concerning the movements of the enemy, they would have been truly dismayed had they known the actual enemy situation. Post-war American accounts make it clear that the United States Pacific Fleet knew of the Japanese plan to invade Midway even before our forces had sortied from home waters. As a result of some amazing achievements by American intelligence, the enemy had succeeded in breaking the principal code then in use by the Japanese Navy. In this way the enemy was able to learn of our intentions almost as quickly as we had determined them ourselves.

The distinguished American naval historian, Professor Samuel E. Morison, characterizes the victory of United States forces at Midway as "a victory of intelligence." In this judgment the author fully concurs, for it is beyond the slightest possibility of doubt that the advance discovery of the Japanese plan to attack was the foremost single and immediate cause of Japan's defeat. Viewed from the Japanese side, this success of the enemy's intelligence translates itself into an

Midway: the battle that doomed Japan: The Japanese Navy's Story by Mitsuo Fuchida and Matasake Okumura, 1955, pp. 131 and 232.

this because their cryptanalysts were up against much more sophisticated cryptosystems than they ^{knew or} were qualified to solve. In fact, even if they had been extremely adept in cryptanalysis it would have been of no avail — U.S. high-level communications were protected by cryptosystems of very great security.

This brings us to a ^{phase of cryptology} subject which is of highest importance — the phase which deals with communications security, or COMSEC, and I shall confine myself largely to its historical background in the U.S. Armed Forces. The background is a very broad one because it should include the background of the developments of each of the three components of COMSEC: cryptosecurity, transmission security, and physical security of cryptomaterials. But since time is limited and because I think you would be more interested in the phases pertaining to cryptosecurity, I will omit references to the history of the other two components. And even in limiting the data to cryptosecurity I will have opportunity only to give some of the highlights of the development of the items that comprise our cryptomaterials, ^{omitting} leaving out comments on the history of the development and im-

provement of our techniques, procedures and practices, all of which are extremely important.

→ More this down to p. 14 of this nos.

Coming directly ^{now} to the history of the development of our cryptomaterials themselves, I hardly need reiterate what was pointed out in previous lectures as to the profound effect of the ^{advances in the science and art of} electrical communications in the ~~19th~~ ^{19th and 20th} Century. These advances had a direct effect upon military communications and an indirect effect upon military cryptology. Hand-operated ciphers and of course, codebooks became almost obsolete with the need for greater and greater speed of cryptographic operations to match as much as possible the very great increase in the speed of communications brought about by inventions and improvements in electric telegraphy. The need for cryptographic apparatus and machines became quite obvious.

I shall begin the story with a definition which you will find in any good English dictionary, a definition of the word "accident." You will get the point of what may seem to you ^{right now} to be merely another of my frequent digressions from the main theme, but if it be a digression I think you will

nevertheless find it of interest. The word "accident" in Webster's Unabridged Dictionary is defined as follows:

1. Literally, a befalling.

a. An event that takes place without one's foresight or expectation; an undesigned, sudden, and unexpected event.

b. Hence, often, an undesigned and unforeseen occurrence of an afflictive or unfortunate character; a mishap resulting in injury to a person or damage to a thing; a casualty; as, to die by an accident.

There are further definitions of the word but what I've given is sufficient for our purposes. But why define the word; what has it to do with COMSEC?

During our participation in World War II the President of the United States, accompanied by many of his highest-level assistants, journeyed several times half-way around the world. He journeyed in safety — he met with no accident.

On the other hand, ^{in April 1943} Admiral Isoroku Yamamoto, ^{Commander-in-Chief of the Japanese Navy} ^{Combined Fleet} started out on what was ^{supposed to be} an ordinary ^{one-way} ^{trip} but it turned out to be a ^{round-trip} ^{for the admiral}. His death was ^{announced} in an ^{official Japanese Navy} ^{bulletin} ^{stating} that ^{then} ^{Admiral}

had met a glorious ~~and while~~ directing operations
in a naval engagement against ~~a~~ superior enemy
forces. But we know that this was simply not true;
Admiral Yamamoto "met with an accident." But
some bright person, it was the late Jimmy Walker,
when mayor of New York City, I think, who said
that "accidents don't just happen — they are
brought about." No; Admiral Yamamoto did not
die simply by accident: he died because our Navy
~~is detail~~ the schedule of his trip down to the
last detail so that it was possible to set up an
ambush with high degree of possible success. Here

Here is the story³ as told in an interesting manner by Fleet Admiral William F. Halsey, US N.

I returned to Nouméa in time to sit in on an operation that was smaller but extremely gratifying. The Navy's code experts had hit a jack pot; they had discovered that Admiral Isoroku Yamamoto, the Commander in Chief of the Imperial Japanese Navy, was about to visit the Solomons. In fact, he was due to arrive at Ballale Island, just south of Bougainville, precisely at 0945 on April 18. Yamamoto, who had conceived and proposed the Pearl Harbor attack, had also been widely quoted as saying that he was "looking forward to dictating peace in the White House at Washington." I believe that this statement was subsequently proved a canard, but we accepted its authenticity then, and it was an additional reason for his being No. 3 on my private list of public enemies, closely trailing Hirohito and Tojo.

Eighteen P-38's of the Army's 339th Fighter Squadron, based at Henderson Field, were

✓ Admiral Halsey's Story, McGraw-Hill, New York, 1947, pp. 155-157.

assigned to make the interception over Buin, 35 miles short of Ballale. Yamamoto's plane, a Betty, accompanied by another Betty and covered by six Zekes, bore in sight exactly on schedule, and Lt. Col. Thomas G. Lamphier, Jr., dove on it and shot it down in flames. The other Betty was also shot down for good measure, plus one of the Zekes. ... We bottled up the story, of course. One obvious reason was that we didn't want the Japs to know that we had broken their code. ... Unfortunately, somebody took the story to Australia, whence it leaked into the papers, and no doubt eventually into Japan. ... But the Japs evidently did not realize the implication any more than did the tattletale; we continued to break their codes. ...

Admiral Halsey's Story contains a good many more instances of ^{cryptologic significance} ~~of~~ ^{of} ~~interest to his~~ ^{of} ~~part of the Japanese~~ ^{of} ~~as well as excellent control~~ ^{of} ~~on the part of our Navy~~ ^{of} ~~Other authors, both American and Japanese,~~ ^{cite} ~~similar instances.~~ ⁱⁿ One Japanese author states ⁱⁿ categorical language that Japan was defeated because of poor COMSEC on the part

of the Japanese Navy and good COMINT on the part of the American Navy.

But lest you get the impression that enemy intelligence agencies had no success at all with ~~the~~ secret communications of U.S. Armed Forces, let me tell you that they did have some success and in certain instances, very significant success. There is not time to go into this ^{rather} ~~disappointing~~ ^{dissuasive} statement but I can say that as a general rule the successes were attributable ~~not~~ to technical weaknesses in U.S. cryptosystems but to ^{their} improper use, in the case, by unskilled, or ^{or} insufficiently trained cryptographic clerks. I may as well tell you right now that this has been true for a great many years, in ~~formation obtainable by procedures not the direct result of cryptosystems but~~ ~~the~~ by means ~~and procedures~~ ^{connected with} what we call ~~matter of fact~~, because as ~~long ago as the year 1605~~ ^{Francis Bacon, said, in The Advancement of Learning,} who wrote the first treatise on English on the subject of cryptology,

This Arte of Cypheringe, hath for Relative, an

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Art of Discypheringe; by supposition unprofitable; but, as things are, of great use. For suppose that Cyphars were well managed, there bee

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Multitudes of them which exclude the Diaphanes.
But in regards of the Raconnes and unskillful-
ness of the hands, through which they
pass, the greatest Matters, are many
times carried in the weakest Cyphars.

When electrical and particularly radio
transmission entered into the picture additional
hazards to communications security had to be
taken into account, but many commanders have
failed to realize how much intelligence can be
gained ^{merely} from a study of the procedures used in
transmission, the direction and flow of com-
munications, the call signs of the transmitting
and receiving stations, ~~direction~~ etc., all
without solving the ~~cryptic~~ communications even
if they are in cryptic form. Following are a couple
of extracts from a document entitled German Oper-
ational Intelligence, published in April 1946 by
the German Military Document Section, a Combined
British, Canadian, and U.S. Staff:

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(P. 8) "Signal intelligence [etc.] as per cards
attached. ..."

(P. 8) "Most of their signal intercept success etc."

(P. 22) "Importance of Signal Intelligence
during the Normandy Invasion; During the
invasion etc

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A great many examples of intercepted messages of tactical content are cited in the above-mentioned document, which is replete with information of deep interest although the document was originally issued ~~as~~ with the lowest security classification than in use (U.S. "Restricted"; "British-Canadian" "For official use only.") I wish there were time to quote at greater length from this useful brochure.

Here insert matter
on p. 8 of this
ms.

Continuation of Lecture No. 5 by [Name] 1944 50 pages made from typed

Here's a photo of Alberti's disk (Fig. 6) but I won't make the time to explain it, except to say that the digits 1, 2, 3, 4 were used to emphasize code groups and that the letters of the cipher or revolving alphabet were in mixed order.

Until the advent of electronic cipher machines most cryptographic apparatus and devices were built upon or around circular rotating members or cipher wheels, cipher disks, etc. The very earliest such disks appears in a treatise by an Italian cryptologist named Alberti whose Treatate in cifra was written in Rome about 1470. It is the oldest tract on cryptography the world has possessed. In Porta's book, first published in 1563 in Naples, there appear several cipher disks and in the copy which I was given me as a gift by

Colonel Fabryan they are in working condition. Here is a picture of one of them. In this version the devices used symbols as cipher characters. And apparently nobody thought up anything much better for a long, long time. It seems that I did nobody think up any improvements on the original Porta disk, but those who did any thinking on the subject merely "invented" or "re-invented" the thing, and that happened repeatedly in successive generations. For instance, in

(45.1) the cipher disk used by the U.S. Army in 1924. It is a variation of the original disk. It is a variation of the original disk. It is a variation of the original disk.

Lecture No. 4 of this series If you were shown a picture of the "cipher disk" invented by Major Albert Mysar, the first Chief Signal Officer of the U.S. Army, who obtained a patent on his invention in 1865. We all know that it generally takes a pretty long time to get a patent through the complex workshops of the U.S. Patent Office, but in 1924 the ancient device

Invent to P15

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Here's a picture of ~~the~~ ^{of it (Fig. 9).} ~~the~~ ^{the} ~~original~~ ^{original} disk (Fig. 8) and the explanation,
 And you will remember that ~~the~~ ^{one} of the Signal Officers
 of the Confederate Signal Corps mechanized the ^{old} Vigenere
 squares and put it out in the form of a cylinder
 (see Figs. 13, 14 and 15) of Lecture No. IV. The cipher
 disk used by the Signal Corps of the U.S. Army
 during ~~the~~ ^{the} ~~period~~ ^{period} 1910 to 1920, that is, during the
 period ^{including} World War I ~~(Fig. 16)~~ ^{it} was nothing but a
 white ^{Alberti's} celluloid variation of the original ^{disk} of the
 vintage of 1470, except that it was even simpler than
 its progenitor because in the latter the cipher alphabets
 produced were mixed alphabets whereas in the
 Signal Corps disk the cipher alphabets are ^{simple} ~~the~~ reversed
 standard sequences.

was patented ^{by} S.H. Huntington. Here you can see a great improvement over the Signal Corps version — a blank is added to both sequences so that the space between words could be enciphered. This, as you have learned, is a fatal weakness if seen in the cipher text, in the Huntington device the spaces between words would be enciphered but the cipher text would have space signs, although they would not correspond to the ^{between words} actual spaces in the plain text. ☺

It is interesting to note that ^{in Austria, in 1936,} during the days when the German National Socialists were banned as an organization, ^{the Nazis} Hitler and his cohorts used this variation of the old disk — it had the 10 digits on both the outer and the inner sequences ^{for enciphering digits} (Fig. 12).

The first significant improvement on the old cipher disk was that made by Sir Charles Wheatstone, who ^{some time before 1837} invented and ^{described} a cipher device which he called a cryptograph. ^{He described it in a volume} entitled The Scientific Papers of Sir Charles Wheatstone, published by the Physical Society of London. Here is a picture of ^{which is in my private collection} Wheatstone's device (Fig. 13). What Sir

Charles did was to make the outer circle of letters (for the plain text) comprise the 26 letters of the alphabet plus one additional character to represent "space". The inner circle, for cipher equivalents, contained only the 26 letters of the alphabet and these could be disarranged in a mixed sequence. Two hands, like the hour and minute hands of a clock, were provided, under control of a differential gear mechanism, so that as the ^{or "minute"} long hand is advanced ^{to make} a complete circuit of the ^{letters on the outer circle of letters on the} face of the cryptograph ~~rotates~~ the short or "hour" hand advances one space or segment of ~~the letters on~~ the inner circle of letters on the face of the cryptograph. In Fig. 13, for example, the plain-text letter G is represented by the cipher letter A. If the long hand is now advanced ^{in a} clockwise direction for one revolution, G_p will be represented no longer by A, but by G. In encipherment the long hand is ~~plac~~ always moved in the same direction (clockwise, for example) and is placed over the successive letters of the plain-text message, the cipher equivalents being recorded by hand to correspond with the letters to which the short hand point at each encipherment.

In this way, successive identical letters of the plain text will be represented by different ^{and varying} letters in the cipher text, depending upon how many revolutions of the long hand intervene between the first and subsequent appearances of the same plain-text letter. Correspondents must naturally agree upon the mixed alphabet used in the inner circle, and the ^{initial} starting position of ~~each~~ of the two hands at the beginning of the encipherment of a message. In decipherment the operator ^{moves the long hand counter-clockwise,} passing the cipher letters in the inner circle, and noting the plain-text letters to which the long hand points in the outer circle.

During World War I, some time in 1917, the British Army resuscitated Wheatstone's cryptograph and improved it both mechanically and cryptographically. ~~As to~~ Here is a picture of the device (Fig. 14), in which it will be seen that there are now ~~now~~ longer the "minute and hour" hands but a single hand with an opening ^{or window} that ~~can~~ ^{simultaneously} discloses both the plain-text and cipher letters. ~~The~~ ~~the same~~ ~~is~~ ~~just~~ ~~as~~ ~~before~~. The inner circle ^{of segments} is just ~~as~~ ^{posed} in an eccentric manner against the outer circle of segments,

which the ~~sequence~~ are made of a substance ^{upon} which letters may be written in pencil or in ink. In this ^{an improvement on the original} Wheatstone device ^{of} letters are now mixed sequences. Making the outer circle also a mixed sequence, ^{added a} considerable degree of security to the cipher. When it was proposed that all the Allied armies use this device for field cryptocommunications and its security had been approved by British, French, and American cryptologists (both at G.H.Q.-A.E.F. and at Washington) an opportunity to agree or disagree with the ~~for~~ assessment of these cryptologists was given me while ^{I was still at the Riverbank laboratories.} I was able to show that the modified Wheatstone cryptograph was still insufficiently secure for ^{military} ~~serious~~ purposes and the devices, thousands of which had been ^{manufactured and} issued, were withdrawn. If you are interested in the method of ^{I used} solution you will find it in Riverbank Publication No. 20, ^{entitled} Several Machine Ciphers and Methods for Their Solution, 1918. A better method of solution was devised by me ^{later} some years ^{later}. Many years later, and almost by sheer good fortune, I learned that a cipher machine was in the museum of a ^{certain} small town in ^{named Stamford} Connecticut. I was interested and wrote to the curator of the

1879
1879
62

museum, requesting that he lend the device for a
 short period to me as principal cryptanalyst of
 the War Department. Imagine my astonishment
 and pleasure when I unpacked the box sent
 me, and found a device, beautifully made and
 encased in a fine mahogany case, with its
 inventor's name, ^{Darius Wadsworth,} and the date, ^{1817,} engraved on the face
 of the machine, which was nothing but another
 version of the Wheatstone Cryptograph. ^{(Here's a picture of it (Fig. 15)). I believe}
^{the model was made by Eli Whitney. Most probably}
 it was ~~more~~ similar to the British modification
 except that the outer sequence had 33 characters,
 the inner 26, so that the differential gear instead
 of operating on the ratio 27 to 26 was now on the
 ratio 33 to 26. ~~I forget~~ Thus, Darius Wadsworth,
 an American Army Colonel, ^{our} first Chief of
 Ordnance, and an associate of Eli Whitney, had
 anticipated Sir Charles Wheatstone by over 60
 years in this invention. He also anticipated the
 British, ^{by a whole century} in their modification of Wheatstone's original,
 because in the Wadsworth device, ^{there was only one alphabet} both alphabets
 could be made mixed sequences. This is, ^{very clearly} shown
 in Fig. 16 as regards the outer sequence and I believe
 the inner one could also be disarranged but I
 am now not sure as to this point. I returned the device

a good many years ago and it is now on display in the Eli Whitney Room of the New Haven Historical Society's Museum.

The next device I ~~wish to~~ ^{a device} bring to your attention is shown in Fig. 17, ^{invented} ~~by~~ ^{by a} French Army reservist, Commandant Bazeries, who ^{for some 10 years} tried to get the French Army to adopt it. He was not successful and included a description of his ^{which he called his} "cryptographe cylindrique" device in a book published in 1901 in Paris.¹⁵ He had, however, described his device in ^{an} ~~his~~ article entitled "Cryptographe à 20 rondelles - alphabets (25 lettres par alphabet," published in 1891.¹⁶ In this device there is a central shaft on which can be mounted 20 ^{numbered} disks on the periphery of ~~each~~ ^{of which are} ^{differently} mixed alphabets of 25 letters each. The disks are assembled on the shaft in some prearranged or key sequence. The first 20 letters of the plain text of a message are aligned, as seen in Fig. 17 (JE SUIS INDECHIFFRABLE = "I am indecipherable") and as cipher text one may select any one of the other 24 ^{which are recorded} lines of letters, then the next set of 20 plain-text letters is aligned, etc. To decipher a

¹⁵ Les chiffres secrets dévoilés.

¹⁶ Comptes Rendus, Marseilles, Vol. XX, pp. 160-165.

indication that the letters on the outer sequence are ~~not~~ changeable, so that if Fig. 16 seems to indicate that those on the inner sequence are not, this may be an illusion.

message, one takes the first 26 cipher letters, aligns them on the device (the disks having been assembled on the shaft in accordance with the prearranged or key sequence) and then one turns the whole cylinder, searching for a ~~line of plain~~ row of letters which form intelligible text. There will be only one such row, and the ~~letters~~ ^{plaintext letters} are recorded. Then the next 26 letters of cipher are aligned, etc.

In 1893 another French cryptologist, the Marquis de Vigaris, showed how messages prepared by means of the Bageries cylindrical cipher could be solved. [✓] Maybe that is why Bageries wasn't too successful in his attempts to get the French Army to adopt his device. But in the U.S. there were apparently none who encountered either what Bageries or de Vigaris wrote on the subject. Capt. Parker Hitt, U.S. Army, ^{whom I have mentioned in a previous lecture,} in 1915 invented a device based upon the Bageries principle but not in the form of disks mounted upon a central shaft. Instead of disks, Hitt's device used sliding strips and here is a picture of his ^{very} first model which he presented to me some time in 1923 or 1924 (Fig. 18). But I learned about his

[✓] L'Art de chiffrer et de déchiffrer les dépêches secrètes.
Paris, 1893, p. 100

while still at Riverbank,

^{Sometimes} device, in 1917, and solved one challenge message put up by Mrs. Hitt, ^{a Riverbank guest for a day.} I didn't ^{use anything like what I could} ^{might have learned from de Vries} in accomplishing the solution (which brought a box of chocolates to Mrs. Friedman) because at that time I hadn't ^{yet} come across the de Vries book. I solved the message by guessing the key Mrs. Hitt employed to arrange her strip alphabets. She wasn't wise to the quirks of inexperienced cryptographic clerks; she used RIVERBANK LABORATORIES as the key, just as I ~~the~~ suspected she would. The device she brought with her was an improved model: the alphabets were ^{on paper strips} ~~mounted~~ glued to strips of wood, as seen in Fig. 19.

Capt. Hitt brought his device to the attention of the then Major Mauborgne, whom I have also mentioned in a previous lecture and who was then on duty in the Office of the Chief Signal Officer in Washington. There is some question as to whether it was Hitt who brought his device to Mauborgne's attention; Mauborgne later told me that he had independently conceived the invention and, moreover, had made a model using ~~the~~ disks instead of strips. I have that model, a present from General

Mauborgne many years later. It is made of brass, very heavy, on the peripheries of the disks of which he had engraved the letters of his own specially-devised alphabets. In 1919, after my return to Riverbank from my service in the AEF, Mauborgne sent Riverbank ^{the first 25 letters of} a set of some 25 or more ~~beginnings~~ beginnings of messages enciphered by his device and alphabets. He also sent the same data to Major Yardley, in G-2. Nobody ever solved the messages, even after a good deal of work and even after Mauborgne told us ^{that} two consecutive words in one of the test challenge messages ^{were the words "are you."} Many years later I found ~~out~~ the reason for our complete lack of success, when I came across the plain texts of those messages in a dusty old file in the OC SigO. Here is a picture of the beginnings of the first six messages (Fig. 20). Mauborgne, when I chided him on the unfairness of his challenge messages, told me that he had not prepared them himself — he had an underling (Major Fowler was his name, I still remembered it!) prepare them. In our struggles to solve the challenge messages, ^{had} assumed that they would contain the usual sorts of words found at

the initial words of military messages. It was the complete failure by Riverbank and G-2 to solve the challenge messages that induced Mauborgne to go ahead with the development of his device. It culminated in what became known as Cipher Device Type M-94. Here is a picture of it (Fig. 21). That device was ^{standardized and} used for at least 10 years in the Army and Navy.

In 1922, a war-time colleague, the late Capt. John M. Manly (Prof. and Head of the Department of English at the University of Chicago) brought to my attention a photostat of a holographic manuscript in the collection of Jefferson Papers in the Library of Congress. It consisted of two pages, ^{entitled "The Wheel Cypher"} and here is a picture of the second page (Fig. 22) showing Jefferson's ^{basis for} calculating of the number of permutations ^{afforded by} that set of 36 wheels of his device. He didn't attempt to make the multiplication; he didn't have ^{an} ^{electronic} digital computer — for the total number is astronomical in size. Jefferson anticipated Babbage by over a century.

It soon became apparent to both the Army and the Navy cryptologists that a great increase in crypto-security would be obtained if the alphabets

of the M-94 device could be made variable instead of being fixed. There began ^{in both services} efforts to develop a practical instrument based upon this principle. I won't take time to show ^{all} these developments but will show the final form of the Army Strip Cipher Device Type M-138-A (Fig. 23). This form used ~~an~~ ^{an} aluminium base into which channels were cut ~~to~~ to hold paper cardboard strips of alphabets which could be slid easily within the channels. It may of interest to you to learn that after I had given up in my attempts to find a firm which would or could make such a grooved device in quantity, Mrs. Friedman succeeded — on behalf of her own group in the U.S. Coast Guard. The aluminium Strip Cipher Device Type M-138-A was used from 1935 to 1940 or 1942 by the Army, ^{the Navy,} the Coast Guard, and the State Department. It was used as a back-up system even after the two services as well as the Department of State began ^{employing} ~~had jointly developed~~ an electrical cipher machines of high speed and security.

Thus far we have been dealing with cipher devices of the so-called "hand-operated" type. None of them ^{can really} be considered as being "machines", that is, ^{apparatus} ~~mechanically-driven~~ ^{mechanically-operated}.

alphabetic sequences can be mounted so that a constantly-changing series of cipher alphabets are produced. We come now to a type of apparatus which can be called a machine, such as the one shown in Fig. 24, ~~It is~~ ^{called} the KRYHA, ~~after~~ the name of its German inventor, who unfortunately committed suicide a few years ago, perhaps because he failed to make a success of his invention. The Kryha has a fixed ^{semi-circle of} ~~letters~~ ^{segments} against which is juxtaposed a rotatable ^{circle of letters.} ~~sequences~~. Both sequences of letters can be made mixed alphabets (the segments are removable and interchangeable on each sequence). The ^{large} handle at the right serves to wind a rather powerful ^{coiled} steel spring which drives the rotating member on which the letters of the inner circle are mounted. In Fig. 25 ~~shown~~ can be seen something of the inner ~~work~~ mechanism. The large wheel at the right ~~is seen~~ has ^{segments} ~~apertures~~ some of which are open or closed, depending upon the "setting" of key. This wheel controls the angular displacement or "stepping" of the circular rotating platform upon which the ^{letters of the} ~~cipher~~

~~As shown in~~
 Negatives are mounted. ~~A prearranged~~ ^{The} initial just-
 position of the ^{inner or movable} ~~two~~ alphabets ^{against the outer or fixed one,} as well as the
 composition of these alphabets is governed by
 some key or ~~prearranged~~ ^{by other} prearrangement.
~~Upon enciphering (and recording) the equivalent of the~~
 The cipher equivalents must be recorded by hand.
 After each encipherment, the button you saw
 in the center of the panel in the preceding
 Fig. 24 is pushed down, the inner wheel ^{advances}
~~step one or more~~ ^{1, 2, 3, 4... up to 7} steps, ^{depending on the key,} and the next letter is
 enciphered, etc. The pictures I've shown you
 apply to the latest model of the Kryha; as
 regards the first model, which came on the
 market sometime in the 1920's, a German
 mathematician produced an impressive brochure
 showing how many different permutations and
 combinations the machine afforded. Here's a
 picture of a couple of pages of his dissertation
 (Fig. 26) but even in those days, ^{professional} cryptanalysts
 were not too impressed by calculations of this
 sort. With modern electronic computers, ^{such} calcula-
 tions have become ^{of even less} significance.

Let us ^{now} proceed with some more

Complex and more secure machines. In this next slide (Fig. 27) you see a ^{machine which represents a} rather marked improvement by a Swedish cryptographic firm ^{upon the ones shown thus far.} It is mechanical - electrical, ^{machine designated as Cryptophone B-11. Here for the first time you see a cryptographic machine provided with a} in character and ~~unusually~~ ^{keyboard similar to that on an ordinary typewriter.} for the first time you see a cryptographic machine with a keyboard similar to that on an ordinary typewriter. ^{Depressing a key on this keyboard causes a lamp to light under one of the letters on the indicating bank above the keyboard. At the top of this machine can be seen four wheels, in front of two rear wheels. The ^{four front wheels} are the rotating elements which ~~change~~ ^{drive the two rear wheels. The latter are electrical commutators that} serve as connection-changers ~~to change the circuits~~ ^{between the keys of the keyboard and the lamps of the indicating board. There isn't time to show you the internal works of this machine, but I must show you ~~about~~ ^{the next} step in the improvement of such cryptographic machines, which ^{made it possible} to eliminate the tedious job of recording, by hand on paper, the results of encipherment & decipherment. ^{This was done by means of} by a printing mechanism which was associated with the cryptographic machine.}}

Here is a slide (Fig. 28) which shows the assembly - the B-211 connected to a Remington typewriter, modified to be actuated by impulses from the crypto-

it was natural that, graphic machine. Of course, the next step would be to make the recording mechanism an integral part of the cryptographic machine. This you can see in the next slide (Fig. 30), in which the four rotating members, referred to in connection with Fig. 27 and which control the two commutators also mentioned in connection with Fig. 27 are clearly seen. The mechanism at the right controls the ^{slide-bar} printing wheel in front of the slide-bar mechanism and causes the proper letter to be printed upon the ^{moving paper} tape seen at the front of the machine.

Now we come to the next and ^a very important development, one first conceived by a European inventor. He was followed ^{hereafter but independently} soon by an American inventor. In this advance the circuits between the keys of the keyboard and the lamps of the indicating board are varied by electrical ^{rotating} members called rotors, interposed between fixed electrical members called stators. In Europe the first of such machines put upon the market for purchase by anyone desiring one is shown in ~~Fig~~ the next slide (Fig. 31). The machine was appropriately ^{enough} named the ENIGMA — for solution of messages enciphered by its means was believed to be impossible, or nearly so.

(Labeled I)

In Fig. 1 at the left, is seen the machine with the top cover plate closed. At the front is the keyboard; above it the indicator board, consisting of lamps underneath glass disks upon which letters have been inscribed. Above the indicator board, ^{and to the left} are seen the peripheries of four ^{metal} notched wheels; At the right in Fig. 1, the top cover plate has been removed, exposing the internal ^{ciphering} mechanism.

Three rotors or connection-changers ^{in cascade} can be seen, attached to notched rings. The rotors are rotatable and serve to change the circuits between the keys of the keyboard to the lamps of the indicator board.

In such a rotor there is a circle of ^{26 equally-spaced} contacts on the left face and a similar circle ^{of contacts} on the right face; wires passing through the rotor connect the contacts on the ^{two by two} left faces to those on the right face, and these connections are ^{arbitrarily made} engraved or painted on their peripheries the letters of the alphabet ^{which} letters can be seen through small windows in the cover plate, so that the rotors can be aligned to an initial ^{setting}.

I used the expression "in cascade" a moment ago, ^{in referring to the rotors,} which simply means that the current, ^{initiated by depressing} from a key of the keyboard passes through ^{the stator and then through} all three rotors before reaching

at the left a switch button which can be set to encipher, decipher or "neutral" positions.

At the left of the first rotor is a stator, which is one also the 26 letters of the alphabet. This stator is important.

front

and the contacts, are connected, ²⁶ wires ~~to~~ double-throw switches operated by and associated with the 26 keys of the keyboard. The connections between the 26 contacts, and the 26 switches of the keyboard are fixed. ^{on the stator}

also has a ~~circle~~ ^{equally-spaced} circle of 26 contacts, but ^{these are} only on its right face. But the stator is also rotatable and its position ^{at any time} can also be seen through a window, (labeled 3 in Fig. 2(E)), so that the initial setting of the stator and the ^{three} rotors can be seen through the four windows. The initial settings of these four elements constitute the key for the starting point in ciphering operations.

a lamp of the indicator board. In the ENIGMA, the current exits from the ^{third, that is, the} last rotor at the right and ^{then} enters into another stator having ^{also} 26 contacts, but ^{these are} only on its left face. This stator is fixed or non-rotatable, and ^{its contacts are connected two by two by 13 internal wires.} 13 of its contacts ~~are~~ ^{are} connected to the other 13, contacts by wires passing through this stator. This stator, ^{is called the reflector;} serves to return the current, ^{which exits from one of the 26 contacts on the right face of the} that rotor, ~~into one of the 26~~ ^{into one of the 25} contacts of the ^{remaining} right face of that rotor, and ~~thence back to~~ ^{thence back to} through ^{contact on the left face of that rotor into a contact on the right face of the second or middle rotor, which in turn enters a contact on the right face of the} left-hand stator. Thus the circuitry in this machine insures that if $A_p = K_c$ ^{for example,} then $K_p = A_c$ ^{in the same position of the rotors.} that is, the cipher process is reciprocal in nature. ^{The circuitry can be seen in Fig. 32.} It also has as a consequence that no letter can encipher ^{itself} itself, that is, A_p for example, can never be represented by A_c , ^{no matter what} at any position of the ^{three} rotors and the left-hand stator. ^{happens to be} The same is true of all the other 25 letters of the alphabet. The three rotors are interchangeable, so that ^{3! = 2 x 1 or} six permutative arrangements of these rotors is the maximum, ^{possible,} since in this construction the rotors cannot be inserted in an "upside-down" position. In other types of such machines the rotors are made so that they can be inserted in either an



6 x 4 x 2

17
13
15
16

Of course, if there are more than three rotors are available from which a selection of 26 has can be made the possibilities increase very considerably.

"rightsided-up" or "upside down" position. This makes possible a maximum of $6 \times 4 \times 2$ or 48 permutations of ~~the~~ three rotatable rotors. The ~~left-hand~~ ^{air-rotor} ~~rotor~~ ^{rotor} can be moved only by hand, the reflector at the right is fixed in this model of the ENIGMA.

Depressing the key of the keyboard causes the first rotor to advance one step, thus changing the circuit from the left-hand stator, thence through the rotors to the reflector, thence back through the rotors to the left-hand stator, thus causing a second depression of the same ^{key} to produce a different cipher equivalent.

I won't take the time to tell you about how the rotors are caused to advance so that over 17 thousand ^{of} letters can be enciphered before the window settings of stator and rotors return to their initial alignment.

(The total number is not in this case 26^3 or 17576 but ^(26 x 25 x 26) 16,900 for technical reasons ~~etc~~ which there isn't time to explain.) Power for the electrical circuits is provided by small dry cells in the box at the upper right in Fig. 31 (II).

The original ENIGMA enjoyed a fair degree of

ENIGMA, the
 enough the
 constant weight
 is one distance
 through the
 rotor and
 no displacement
 the constant
 the rotor
 reverse direction

success in sales but it was by no means spectacular. When Hitler came into power, further sales were prohibited, for reasons that must be omitted in this lecture. Suffice it to say that its ~~ENIGMA~~ became the basis for machines used by the German Armed Forces in World War II.

In the U.S. a California inventor named Hebern independently conceived a machine, similar to the ENIGMA but with some important differences: the cipher alphabets produced by it were not reciprocal, and, moreover, a ^{a plain-text} letter could represent itself in the cipher text. Hebern managed to avoid these two weaknesses by ^{incorporating} a switch plate which could be set ^{the way} for enciphering ^{another way} and deciphering. Here is a slide (Fig. 33) which shows Hebern's very first model, which he constructed for communications of the Ku Klux Klan. You will note that ~~is~~ this model ~~has~~ has but one rotor; also, the cipher machine is connected to an electric typewriter so that hand recording ^{of results} was no longer necessary. Hebern interested our Navy in his machine and built the 5-rotor model which you see in this slide (Fig. 34). These rotors are interchangeable and can be inserted "rightsides-up" or "upsides-down"; the ^{internal} wiring could be readily changed. But this was not a printing

^{additional}
One virtue of the Halvern machine was that the
wiring in the rotor were variable, a feature not
incorporated in the ENIGMA rotors.

0751-7

Navy had but two machines ^{either} of which could be made available, so I induced the Chief Signal Officer to buy a couple of them for me. The rotor wirings were altogether different from those of the Navy, a fact which I discovered simply by asking Strubel to substitute a few letters on his machine using settings I specified.

Machine. Power was furnished by the small dry cell seen at the upper left. The Navy was considering purchasing a rather number of these machines and ^{Sieut Strubel,} then Chief of the Navy's Code and Signal Section of the Office of Naval Communications, asked me to study the machine for

its cryptosecurity. After some ~~weeks~~ study I reported that ^{in my opinion} I thought the security ^{of the machine} was not so great as Navy thought. The result was a challenge, which I

accepted. Navy gave me ~~some~~ messages put up on its machine and I was successful in solving them.

There isn't time to go into the methods used but if you are interested you can find them described in my brochure entitled

Hebern built several more models for Navy and these had printing mechanisms associated with them, but Navy dropped negotiations with Hebern when it became obvious that he was not competent to

build what Navy wanted and needed. Navy then established its ^{cryptographic research and} own development unit at what is now known as the Naval Weapons Plant in Washington.

Army and Navy went their separate ways in such work for a number of years, but finally, in 1938 or 1939, close collaboration ^{brought} as a result ^{of which} an excellent

Army developed at the Signal Corps Substation Converter M-134 at Ft. Monmouth a machine known as Converter M-134 and gave a slide (Fig. 35) showing what it looked like.

machine which ^{in quantity} was developed, ^{by the Teletype Corporation in Chicago.} produced, distributed and used very successfully ^{by all our Armed Forces} from 1940 to the end of World War II and for some years thereafter. This was a rather large ~~and~~ ^{requiring considerable amount of electric power and} machine, ^{hence unsuited for use by small} units in field operations. ^{In the late 1930's the} Army became interested in a small mechanical machine invented by a Swedish engineer, named Hagelin. Modifications desired by Army were incorporated ^{which was called Converter M-209,} in the machine, and over 100,000 of them were manufactured ^{in the years 1942-44} by the Smith-Corona Typewriter Co. at Boston, New York. Here's a slide (Fig. 36) showing Converter M-209, which was used by all our Armed Forces in World War II, ^{and here is another (Fig. 37)} When properly used it gave a high degree of security; when improperly used, as was often the case, its security was rather illusory. This machine operates on what is termed the key-generator principle and when two or more messages are enciphered by the same key stream or portions thereof, solution is relatively a simple matter but I cannot go into that now.

With the world-wide ^{adoption of automatic printing telegraph} or teleprinter ^{became pressing} communications the need for a reliable and practical cryptographic mechanism to be associated ^{or integrated} with the teleprinter. The first ^{apparatus} development of this sort in the U.S., is shown in this slide (Fig. 38), ^{developed} was that by the American

and Telephone Co., in 1918, as a more or less simple but ingenious modification of its ordinary printing telegraph. First, a few explanatory words about the latter may be useful. It is based upon the use of ^{what is called the "Baudot Code," that is, a system} ~~in which~~ ^{in which there are five} ~~elements~~ of two different kinds to represent characters of the alphabet. These ^{two} elements may be positive and negative currents of electricity, ~~or the~~ ^{presence and absence of current.}

Here is a slide (Fig. 39) which depicts the Baudot or 5-unit code ^{in the form of a paper tape in which there are holes in certain positions - transversely to the length of the tape.} The holes are produced by a perforating mechanism; the small holes running the length of the tape are "feed holes" by means of which the tape is advanced step by step. You will note that there are five levels on which the holes and spaces or blanks appear. The letter A, for example, is represented by a hole in the 1st and 2nd levels; the 3rd, 4th and 5th levels are blanks; the letter B, by holes in positions 2 and 3, etc. Toward the right-hand end of the tape are two permutations labeled "letters" and "figures", respectively. These are equivalent to the "shift" and "unshift" keys on a typewriter keyboard, or "lower" and "upper" case. When the "letters" key is depressed, the characters

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discarding old page
31 of 1st draft.

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This material is to be
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1 carbon copy, on
legal size sheets

designated as the ECM Mark II, ECM standing for "electric cipher machine," in the Army it was designated as the SIGABA, in accordance with a nomenclature in which ^{items of Signal Corps} cryptographic material are given ~~to~~ short titles beginning with the initial ~~trigraph~~ SIG.

The ECM-SIGABA is a rather large machine requiring ^a considerable amount of electric power and much ^{too} heavy to be carried ^{about} by a single operator performing field service. It was safeguarded with extreme care and under strictest security regulations during the whole period of World War II operations. None of our Allied ^{even} were permitted ~~to have or even~~ ^{to see the} ~~let alone~~ have it. In order to ~~facilitate~~ ^{facilitate} inter-communication between ^{U.S.} and ^{British} ^{forces}, an adaptor was developed so that, by ~~the~~ use of the latter in connection with the ^{American} ECM-SIGABA, messages could be ~~sent~~ ^{exchanged} in cipher ^{with} British units ^{possessing} ~~with~~ a British machine called TYPEX ^{for which an} ~~adaptor~~ ^{adaptor} cryptographically equivalent to the American one had been developed. This system of inter-communication worked satisfactorily ^{and} ^{securely}.

Certain improvements in the method of usage and certain new components, to be associated with the ECM-SIGABA for automatic decipherment by perforated tapes, were introduced during the war-time employment of these machines. But the SIGABA-ECM as originally developed and produced became obsolete some years after the close of hostilities because newer machines, ~~developed~~ developed by NSA cryptologists and engineers, replaced them, but not because there were ever any indications that messages enciphered on the machine had been deciphered by the enemy. As a matter of historical fact it may be stated that all efforts to solve such messages were fruitless, and it is also a fact that no machines were ever captured by the enemy; nor were any machine exposed to enemy inspection at any time. Once and only once were there any apprehensions in this regard, when, through a careless disregard of specific instructions, a truck, and an attached trailer, in which this machine and associated material were housed, were stolen from during the night when parked on the street in front of the headquarters of the 28th Division during the Battle of the Bulge. A great search was instituted during the course of which a river was diverted, and the trailer, with all its contents intact, was found resting on the bed of the diverted stream. The episode terminated in court-martial proceedings; and there were no further incidents of this sort. Let me

add that such apprehensions as were entertained at the time the machines were based not upon the possibility that the machine would be in a position to turn out way back to the Germans and that they would be in a position to turn out way back to the Germans and that they would be in a position to turn out way back to the Germans...

years before the SIGABA was put into service. About five years ago the Army's small need for a cipher machine for field use became obvious. The strip cipher system for this purpose, nor was the Army's M-134 suitable, the electrical machine was not suitable, for reasons I already indicated. The sum of \$2000 was allotted by the Army to the Chief Signal Officer for the development of a suitable machine, but also affording adequate security. The funds were turned over to the Signal Corps laboratories at Fort Monmouth, New Jersey, the military director of the laboratories, ^{technical guidance or assistance from the Signal Intelligence Service, outside assistance, developed,} ^{and deciding that this staff had sufficient know-how without} ^{up all the funds allotted for the purpose.} ^{a cryptosecurity} ^{many settings of his own selection. He then} ^{director, and I turned them over to two of my assistants.} ^{the reason for turning over the model with the messages was that it must be assumed that under field conditions machines will be captured. One of the} ^{two test messages was solved in about 20 minutes; the other took longer - 35 minutes. This was the ignominious end to the development, brought about by the failure to recognize that cryptographic invention must be guided by technically qualified cryptanalytic personnel. Unfortunately, all the available funds had been expended on this unsuccessful attempt; the none was left for a fresh start}

our's development ~~RE: THE HAGELIN~~ mechanical guidance from

the SIS. ~~But~~ It was about this time that the

development of small mechanical machines developed ^{which had been} and produced in quantity ^{in Stockholm} by a Swedish engineer named Hagelin ^{was brought}

to the attention of the Chief ^{Signal Officer of the U.S. Army} by a representative of the Hagelin

firm. The SIS was asked to look into it, and as technical

director I turned in an unfavorable report ^{for the reason that} although its ~~theoretical~~ cryptosystem was theoretically quite good ^{it had a low degree of cryptosecurity} ~~in its~~ if improperly used ^{and experience had taught me}

that improper use could be expected ^{to occur with} sufficient frequency to jeopardize the security of

all messages enciphered by the same setting of the machines, whether correctly enciphered or not. ^[I must attach]

I tried to assure the CSO that my opinion was not motivated by ^{the NIH factor} that was over-ruled by my military superiors, and properly

so, because ^{neither the SIS nor the SCL} we had developed ^{nothing} that was better than the Hagelin machine, or even as good, as it was with

all its ^{mechanical} deficiencies and cryptographic weaknesses taken into consideration. ^{though somewhat reluctantly} Accepting ^{as far as the}

^{well -} ~~considered~~ directive of the CSO, they pointed out where improvements could be made and ^{the desired} modifications were

incorporated in the machine, which became known as Converter M-209. Over 100,000 of them were manufactured in 1942-1944 by the Smith-Corona Typewriter Company at Stratford, New York. Here's a slide (Fig. 36) showing the machine, which was extensively used by all our Armed Forces during World War II, and here's another (Fig. 37) showing its internal mechanism. It turned out that under

field conditions ^{the fears upon which I had based my personal} rejection of the Hagelin ^{proved} to be fully justified - a

great deal of traffic in it was solved by the Germans, Italians, and Japanese. If I was ^{chagrined} ^{or suffered any} remorse when I learned about ^{the many successful attacks on M-209} traffic, those feelings were generated by ^{my} ^{panicking} myself to

think up something better than the M-209 despite the in-

→ to inform the CSO
→ to inform the SCL
→ to inform the SIS

Insert

REF ID: A62831

This was because the Hagelin machine operates on what is termed the key-generator principle, so that when two or more messages are enciphered by the same key stream or portions thereof, solution of those messages is a relatively simple matter. Such solution permits recovery of the settings of the keying elements so that the whole stream can be produced and used to solve messages

[over]

Dickens, Charles:

Excerpt from:

The Pickwick Papers, Chapter XI: "Involving another journey and an antiquarian discovery."

Typescript of episode dealing with a fraudulent inscription.

REF ID: A62881
 which have been correctly preserved
 by the same party making
 a duplicate copy made by
 the enemy. I cannot go into details in
 the report in this volume.

Curiously enough, Francis Bacon was the first to employ such a "code" in the early 17th century, and I showed you the one he used, in Section No. 2 (see Fig. 25, p. 42, of NSA Technical Journal, Vol. V, No. 2, April 1960).

large machine requiring considerable amounts of electric power and hence unsuited for use by small units in field operations. In the late 1930's the Army became interested in a small mechanical machine invented by a Swedish engineer named Hagelin. Modifications desired by Army were incorporated in the machine, which was called Converter M-209 and over 100,000 of them were manufactured in the years 1942-1944 by the Smith-Corona Typewriter Co. at Grafton, New York. Here's a slide (Fig. 36) showing Converter M-209, which was used by all our Armed Forces in World War II, and here is another (Fig. 37). When properly used it gave a high degree of security; when improperly used, as was often the case, its security was rather illusory. This machine operates on what is termed the "key-generator principle" and when two or more messages are enciphered by the same key stream or portions there of, solution is relatively a simple matter but I cannot go into that now.

Triple space 1 cc on legal size paper

introduction of With the ~~world-wide adoption of automatic~~ printing telegraph or teleprinter machines for electrical communications the need became pressing for a reliable and practical cryptographic

mechanism to be associated or integrated with ~~the teleprinter~~ such machines. The first apparatus of this sort in the U. S., shown in this ~~slide~~ photo (Fig. 38), was that developed by the American and Telephone Co., in 1918, as a more or less simple but ingenious modification of its ordinary printing telegraph. First, a few explanatory words about ~~the latter~~ the basic principles of modern teleprinter

This principle employs may be useful. It is based upon what is called the "Baudot Code", that is, a system of permutations of two different elements taken in groups of five are employed in which there are ~~five elements of two different kinds~~ to represent characters of the alphabet. ~~in Bacon's "code" were a's and b's; he used but 24 of the 32 permutations~~ These two elements may be positive and negative currents of electricity, or the latter system being often referred to as being composed of "marking" and "spacing" elements, the presence and absence of current. Here is a slide (Fig. 39) which depicts the

Baudot or 5-unit code in the form of a paper tape in which there are holes in certain positions transversely to the length of the tape. The holes are produced by a perforating mechanism; the small holes running the length of the tape are "feed-holes" by means of which the tape is advanced step by step. You will note that there are

available (2^5 = 32). For electrical communications the two elements

are used to represent the so-called "stunt" characters, which I will now explain. The third and fourth characters from the right-hand

five levels on which the ^{perforations} holes and spaces or blanks appear. The letter A, for example,

is represented by ^{perforations only} a hole on the 1st and 2nd levels; the 3rd, 4th and 5th levels ^{remaining}

^{imperforated} are blanks; the letter I, ^{is represented} by holes in positions 2 and 3, ^{no holes on the other three levels, etc.} etc. toward the right-hand

English alphabet uses 26 of the 32 permutations; the remaining 6 permutations at the end of the tape are two permutations labeled "letters" and "figures", respectively.

These are equivalent to the "shift" and "unshift" keys on a typewriter keyboard, for

"lower" and "upper" case. When the "letters" key is depressed, the characters



26

printed are the ²⁶ letters of the alphabet (all capital letters); when the "figures" key is depressed the characters represented are similar to those printed on a typewriter when the "shift" key is depressed. ^{second, third, and fourth} permutations at the left-hand end of the tape are also stunts, characters and represent "line feed," "space," and "carriage return" and they perform ^{electrically} in a teleprinter what is done by hand on a typewriter: ^{"line feed"} causes the paper on which the message is printed to advance to the next line; the ~~space~~ "space" does exactly what depressing the space bar on a typewriter does, etc. When there are no holes anywhere across the tape, the character is called a "blank" or "idling" character — ^{the printer does no} nothing happens; ~~nothing~~ printing; nor is there any "stunt" ^{functioning} by the printer, but the tape merely advances. ^{standard} In modifying the printing telegraph machine to make it a printing telegraph cipher machine, or, to put the matter in a slightly different way, in developing the printing telegraph cipher machine the American Telephone and Telegraph Company ~~made good~~ ^{was fortunate} in having at its disposal the services of a ~~rather brilliant~~ ^{brilliant} ~~engineer~~ ^{engineer} named ^{S.} Gilbert Vernam who ^{conceived} had a brilliant principle. ~~It~~ ^{That principle} turned out to be so useful and valuable that it has come to bear his name and is often referred to as the "Vernam rule." Vernam saw that if in accordance with some ^{general} but invariant rule

Footnote (21) Parker, R.D. "Recollections Concerning the Birth of One-Time Tape and Printing-Telegraph Machine Cryptography." NSA Technical Journal, Vol. 11, No. 2, July 1963, pp. 103-114.

the marking and spacing elements of a 5-unit code group were combined with those of another 5-unit code group, which would serve as a keying group, ~~for the same system in accordance with some general rule~~ and the resultant 5-unit group transmitted over a circuit and combined at the receiver with the same keying group in accordance with the same general rule. The final resultant would be the original character. Vernam extended his idea to make it applicable to ~~any system for teleprinting~~ and an application in Vernam's name was filed in the U.S. Patent Office on 13 September 1918, and Patent No. 1,310,719 was granted on the invention entitled a "Secret Signaling System" on 22 July 1919.

The following more detailed description of Vernam's patent on the foregoing cipher system is ^{extracted from a paper written by one of the other A.T.T. Company's engineers who was associated with Mr. Vernam at the time the invention was conceived and who, after a few years from that company, became one of NSA's consultants:}

Indent and single phrase
copy matter indicated on attached sheet → (R.D) Parker p.108

Here is an extract from a paper prepared by Vernam himself which in simple language explains

²²⁹ In this system which uses only two different symbols or elements, the so-called "binary code," the combinatory rule is its own inverse.

how his invention worked in a system developed during World War I for use of the Signal Corps, U.S. Army: ²²

CIPHER MACHINE - METHOD OF OPERATION

The messages are first punched in a paper tape by means of the keyboard perforator (Fig. 38 of this lecture). ...

* * * * *

The cipher "key" may take the form of another tape [etc as indicated on attached sheets labeled p. 17-21-]

indent
+
Single
space

on attached sheets

²² Vernam, G. S. "Cipher Printing Telegraph Systems for Secret Wire and Radio Telegraphic Communications," a paper presented at the Midwinter Convention of the A. I. E. E., New York City, 8-11 February 1926.

I wrote a monograph on the solution, consisting of a main paper of 2.5 pages, and 25 appendices, in Addendum 1 of 10 pages, all of which were sent to Washington, together with a perforated cipher message table to each of the offices indicated above. In order to decipher these messages the Chief Signal Officer had to use two key tapes, the printing that Riverbank solved the system. It had been received both key tapes, but the tapes had been employed in such a way that the challenge messages that Riverbank was in a position to produce the plain text of any of the latter on request if further proof of solution was needed or desired.

double-key-tape
The foregoing system was placed into operation in 1918, on three start-stop circuits, for intercommunication among four stations serving Washington, New York, Hoboken and Norfolk, and which, according to Parker (see footnote 21 above), continued in operation for many months, even after the end of the war. In addition, a Signal Corps Company was organized to go to Europe with new equipment for installation of printing-telegraph circuits in France. This Signal Company was about ready to sail when the Armistice was signed November 11, 1918.

Upon my return to Riverbank, after being demobilized, in April 1919, I became an interested party in a rather warm argument conducted by letters exchanged between Colonel Fabryan, Director of Military Intelligence and the War Department, and the Chief Signal Officer, the regarding the cryptosecurity of the cipher printing telegraph system as used by the Signal Corps. The argument ended by meeting successfully a test, which was tantamount to a challenge by the Signal Corps, to prove Fabryan's solving one day's traffic in the system. The solution was accepted with mixed feelings in Washington; especially on the part of the Director of Military Intelligence who, having signed a letter prepared by Major Yardley, to the effect that the cipher system in question was "absolutely indecipherable," had then

~~exactly~~ how this invention and the system works:

indent of
 page
 of page

copy matter attached
 p. 17

22

96

duty and

courtesy of writing a congratulatory letter to Colonel
 Fabyan, dated 24 March 1920, the ^{final paragraph of} which is as follows:

Your very brilliant scientific achievement
 reflects great credit upon you and your whole
 personnel. It would be impossible to exagger-
 ate in paying you and Riverbank the deserved
 tribute for this very scholarly accomplishment.

indent
 +
 single
 space

[Insert here
 matter on this sheet (489) →
 back of sheet number 488
 + also on sheet number 485]

The A.T. & T. Company's printing telegraphic cipher were
 after Riverbank provided the double-key-tape system
 with drawn soon insecure. The machines went into storage, where

in due course most of them were dismantled. But after
 I left Riverbank at the end of 1920 and had
 joined Chief Signal Officer's staff in Washington, I in-
 duced the Chief Signal Officer to resuscitate two of the
 equipments. These I employed, believe it or not, in
 preparing the manuscripts for several editions of new
 field codes for field use, called Division Field Codes,
 for use in training or in emergency. I won't undertake
 to explain how I performed this stunt, for it was a stunt, but it
 worked very successfully, until there was no longer any
 need for codes of this type. The codes were duly printed, and issued and used.

Cipher printing telegraphy was placed
 upon the shelf and more or less forgotten by the Signal
 Corps from 1920 until soon after Pearl Harbor. Although
 beginning about 1938 ^{communications engineers} Mr. Frank B. Rowlett,
 one of my associates, and I kept urging that there was

Insert to p. 48 (or reverse side)

The paper by Mr. Parker (see footnote 21) closes with the following ~~sentences~~ final paragraph:

ident
+
summary
pp

Perhaps some day Mr. Friedman will tell of the part that he and the Riverbank laboratorians played in the cryptanalytic phases of this development.

Mr. Parker was not aware of the fact that what he ~~was~~ suggested had not only been done once ^{but twice}. The first time was immediately after the solution, ~~and the copies of the write-up mentioned~~ on p. 00 ^{but they had} had been sent to Washington, ^{and the} fate ~~is~~ ^{is} ~~unexplainable~~ ^{or special technical} that often happens to documents of limited interest — complete ~~and~~ ~~unexplainable~~ disappearance in the voluminous files of bureaucracy. ^{The end of hostilities of World War II,} The second time was soon after ^{at a certain outfit I work} when it was discovered that ~~an~~ ^{many} ~~persons~~ ^{persons} were using the double-tape teletype system for its teleprinter communications. I rummaged through my own files and uncovered the handwritten manuscript of ^{certain parts of} what I had written at the close of the successful solution of that system while at Riverbank. ^{my second write-up} ~~It~~ ^{is} a classified docu-

ment, dated 25 July 1948, ^{sub-} the title of which is "Can cryptologic history repeat itself?" It is possible that this write-up can be made ^{but} ~~but~~ ^{it} ~~may~~ ^{is} available to those of you who are interested in reading it if proper authority grants permission. [Insert continued on attached sheet]

p. 48

Continuing
went to p. 46

(see footnote 21 above)

Mr. Parker's paper devotes a good deal of space to the contention that the only reason why the double-tape keying method was adopted was that the Signal Corps and specifically its representative, Colonel Mauborgne "complained about the difficulties that might be experienced in the preparation and distribution of one-time random key tapes, and seemed inclined to disapprove of the proposed system because of these difficulties. Since the system, when properly used, seemed obviously to be one which gave absolute secrecy, a discussion arose ... on the value of the system and on methods which might be devised for the production and distribution of long one-time key tapes having characters arranged at random." Parker and his associates ~~observed~~ ~~felt~~ ~~that~~ ~~this~~ ~~pointed~~ ~~out~~ ~~that~~ the original method of use ~~did~~ ~~not~~ ~~include~~ the use of long tapes of this nature and that he and his associates felt that the ^{problem of} producing and distributing ~~of~~ long tapes, "while presenting a challenge, was not impractical." I am glad to admit that they were right, because ~~in~~ during World War II and ^{for years afterward} ~~and~~ ~~went~~ ~~up~~ ~~to~~ ~~this~~ tapes of this nature were produced ^{by special machinery} (in some cases ~~as~~ as many as five copies being perforated ^{and the sections numbered automatically} in a single operation). ^{of} Distribution and accounting for the tapes

[continued - 46b -

[over.]

proved practical, too, ~~and~~ ~~from~~ ~~an~~ ~~occasional~~
 error involving the re-use of a once-used tape,
~~the system of absolutely secure inter-communication~~
 was assumed and was used between and
 by radio printing telegraphy among large headquarters
 where the volume of traffic justified the use of
 this equipment, ~~was assumed~~. The principal advantage
 was the simplicity of crypto-operation — no rotors
 to be set, no settings of rotors to be deciphered, no
 checking of encipherment by deciphering the message
 before transmission, etc.

Insert

leading members of the cryptanalytic
 However, the S.I.S. maintained a theoretical interest in such
 equipment and in 1937 ^{there came an} opportunity to test such theories
 as were developed by them when a machine produced
 by the International Telephone and Telegraph Company
 evoked ^{the} interest of the Department of State as a possible
 answer to the needs of that Department for rapid and
 secure cryptocommunications by radio. The Secretary of
 State requested the Secretary of War to ^{study} investigate the
 machine from the point of view of security. ^{For this purpose} Messages deciphered by the Chief of the
 and Records Division of the Department of State were provided. It is a
^{surprise to participants} ^{able to tell you} that the S.I.S. quickly solved
 the text messages and therefore reported that the
 machine was quite ^{now} measuring; but it is with much regret
 that I must tell you who invented and developed the
 machine. It was ^{a retired officer of the Signal Corps and} none other than my old friend Colonel
 Hitt. ^{It was his ambassador to tell him about the results of our test} as he was ^{for himself} to listen to what I had to say
 about the inadequacies of his brain child. As is so often
 the case, when a competent technician has to ^{neglect} give up
 his technical studies because of the pressure of admini-
 strative duties, he ^{unfortunately} finds it very difficult
 to keep abreast of new developments and progress in
 the field ^{in which he was at one time an expert.} of his technical cognizance. The I.T. & T. Com-
 pany having spent a great deal of money on ^{the} develop-
 ment of a machine ^{which hardly presented any room for improvement}
 because the principles underlying it were so faulty, the company
 dropped the further work until Colonel Hitt ^{was glad to say} ^{that}
^{the disappointment} and was well enough in 1942 to be able to

retire to active duty during World War II
 and received a second time award and of decorations. He
 lives a quiet life now, on a small farm near Front
 St. Winton

[Insert matter on reverse side of this page]

or would be real need for ^{new and} improved machines for ^{not only} protecting teleprinter communications, there was a ^{complete} lack of interest in such apparatus, but what was perhaps a more important factor ^{in the failure to continue work in this field} was the lack of ^{Signal Corps} funds for research and development ^{for such work.} ~~of such a project.~~

More or less sudden ^{entry into World War II, after 7 December 1941,} immediately ^{brought} a ^{great} need for cipher printing telegraphy, especially for ^{radio} communications, ^{but there was no apparatus for it, - not a single} ^{one of those machines of 1918-1920 was in existence.} ^{A.T. & T. Company} But ^{the} ^{S.I.S. did} have drawings and the development of the machines ^{was} ^{given a priority task to} ^{by} the Teletype Corporation because ^{it} ^{was} ^{known} that firm had proved that it had the necessary know-how when it produced the SIGABA-ECM's for us. Navy had less need for cipher printing telegraphy ^{than Army} because the use of ^{radio} printing telegraphy by radio ^{was} ^{not} ^{practicable} for ships at sea. However, Navy did have a need for such apparatus for its land communications and joined Army in the development thereof. The machines ^{were} produced with remarkable speed ^{by} Teletype Corporation. Most of them were allotted to Army, a few to Navy. The Army called the machine the SIGCUM, the Navy called it CSP-888. Under heavy

in the category of cryptographic equipment of the foregoing type fall because the latter employ letters of the alphabet; but apparatus for CIFAX transmissions, that is, pictures or facsimile transmissions, and apparatus for protecting CIPHERY transmissions, that is, tele-
 phone communications, were also developed. But there is not time to go into details with regard to 62 machines and apparatus that have been developed in two categories of cryptographic equipment - namely, although the history of their development is not clear from any other very important. But I just

use in service improvements were made both in regard to mechanical and electrical features and in regard to methods of keying, the use of indicators, etc. But I must tell you that before those machines became available in quantity there was only one recourse: we went back to the use of the double-key-tape method of ciphering, practically the same as it was in 1920 but we had safer methods of key-tape production and indicators for their use. The S. I. S. and the equivalent unit in Navy were not happy because operators' errors left messages open to solution, so that when the new cipher machines were ready they were placed into service as soon as possible, priority being given to circuits with heavy traffic.

Cryptographic equipment of the foregoing type fall because the latter employ letters of the alphabet; but apparatus for CIFAX transmissions, that is, pictures or facsimile transmissions, and apparatus for protecting CIPHERY transmissions, that is, tele-
 phone communications, were also developed. But there is not time to go into details with regard to 62 machines and apparatus that have been developed in two categories of cryptographic equipment - namely, although the history of their development is not clear from any other very important. But I just


~~Other types of cryptographic apparatus were developed during World War II. ^{called} CIFAX machines for protecting facsimile transmissions.~~
 I cannot refrain from adding that in every case, ^{except one} the apparatus produced by ^{commercial} research and development firms that without direct guidance from the cryptologists of the Army and the Navy. The one exception is, I believe, in the case of the extremely high security cipher system ^{and equipment} developed and built

by the A.T. & T. Company. It was called SIGSALY^L.
 There were six terminals, each of which cost over
 \$1,000,000. But NSA cryptologists and engineers
 have produced smaller and better ^{equipments based upon} SIGSALY principles
 and such equipments are bound to play extremely
 important roles in any future wars in the future.

So much for ^{for the history of the development and progress} cryptographic apparatus at this
 point. I shall return to that phase of cryptologic
 history before the close of this lecture. Right now I
 shall say a few words about ^{the history of the development and progress} cryptanalytic ~~apparatus~~
 apparatus.

The solution of modern crypto-communication systems has been facilitated and, in some
 cases, made possible ^{only} by the invention, development, and
 application of ^{highly-specialized} cryptanalytic machinery, including apparatus
 for intercepting and recording certain types of transmissions before cryptanalysis
 can be attempted. One must understand
 the basic nature of the problem which confronts the
 cryptanalyst when he attempts to solve one of these
 modern, very complex cryptosystems. First of all he
 must be given the crypto-communications in a form
 which ^{make them visible for inspection and study} is suitable for study. Usually they are
 characters, ^(letters or numbers) in the case of literal communications, or they are

^{electrical} signals of a recordable type in the case of cifax or
 aphony communications. Next he must have ~~at his~~
 available to him instrumentalities that will assist
 him in his analytical work, such as machinery for
 making frequency counts, comparisons of sequences,
 etc., and this, in the case of complex systems, must be
 done at high speed. Cryptanalysis of modern
 cryptosystems requires testing a very great number
 of assumptions and hypotheses because ~~of the~~
 sometimes astronomically large number of ^{possibilities, i.e.,} permutations
 and combinations, ^{one after the other} must be tested until the correct answer
 is found. Since the advent of high-speed machinery
 for such purposes, including electronic digital
 computers about which so much is being heard and
 read nowadays, the cryptanalyst ~~doesn't~~ isn't
 discouraged by these astronomically great numbers
 of possibilities.

Perhaps long before my time cryptanalysts
 in Europe discovered that the use of sliding strips of
 paper could sometimes facilitate reaching a solution
 to a cryptanalytic problem, but so far as I am aware
 the very first cryptanalytic aid ^{made} in the U.S. is the one
 shown in Fig.  ^{made}, which is a picture of what I call

5251
26607
79721

Park Hill

0963

REF ID: A62831

Box 884

Front Royal Va.

A proponent of ~~the~~ ~~or~~ ~~an~~ ~~epidemiologic~~
history report itself. Dated 21 July 1948.

at Riverbank and which I called the Polyalphabet. It was useful in solving ciphers which today are regarded as being of the very simplest types. When I came to Washington after leaving Riverbank, I wasn't troubled by a plethora of ideas for cryptanalytic aids — I was pre-occupied with devising and inventing cryptographic aids and machines. But I did now and then develop and try out certain ideas for cryptanalytic aids, frequency counters, comparison or coincidence machinery and the like. Why didn't I think of IBM machines? I did, but what good did that do? Did the Signal Office have any such machines — or even one dollar for their rental? You know the answer to that without my spelling it out. There wasn't any use even in suggesting that IBM machines could be of assistance to me — remember, now, that ~~but~~ I'm talking about the years ^{from} 1921 to 1933, and in the last-named year we were in the depths of a great economic depression. But one ^{the summer of} day in 1934 I learned by a devious route, ^(Army and Navy were not then sharing secrets) that the Navy Code and Signal Section had ~~seen~~ ^{seen} IBM machines or two, and my chagrin was almost unbearable. Not long afterwards I learned that a certain division of the Office of the

Quartermaster General in the Munitions Building had an IBM installation which had been used for accounting purposes in connection with the C.C.C. - the Civilian Conservation Corps established to provide work and ~~subsistence~~ subsistence for young men who could find no ~~work~~ jobs in the depression. I also learned that a new officer had just been assigned to head that particular division - and that he just had no use for ~~such~~ ^{the} newfangled ideas of his predecessor and wanted to get rid of those nasty IBM machines. But the contract with IBM still had some months to go run before the lease expired and either the machines would sit idle or the Government would lose money by ~~cancel~~ terminating the contract before the due date of expiration. This annoyed me, but it also gave me an idea. I ~~sent~~ wrote a memorandum and here's a picture of it (Fig.). Do read you what it says:

Intent & purpose space

attached

Attached to the memo was a brief explanation amounting to ^{IBM} of what I've told you about that installation in the Office of the Quartermaster General. Note that I placed

[This belongs in
envelope
No 28]

30 October 1934

Major Akin: In many years service here I have never once "set my heart on" getting something I felt desirable. But in this case I have set my heart on the matter because of the tremendous load it would lift off all our backs.

The basic idea of using machinery for code compilation is mine and is of several year's standing. The details of the proposed system were developed in collaboration with Mr. Case, of the Int. Bus. Machines Corp.

I regard this as one of my most valuable contributions to the promotion of the work for which we are responsible.

Please do your utmost to put this across for me. If you do, we can really begin to do worthwhile cryptanalytic work.

F.

the emphasis upon the ~~load~~ burden that would be
 lifted from cryptographic work, ~~that~~ by using
 the IBM machinery, thus leaving more time for
 cryptanalytic work. This was because the responsi-
 bilities of the S.I.S. for cryptanalytic ^{operations} ~~the~~
 were at that time restricted purely to theoretical
 studies. Studies ~~on~~ or cryptanalytic work on
 foreign cryptosystems, ^{had been} ~~was~~ a responsibility of
~~the Signal Corps during peace time~~ ^{until 1929, responsibility had} ~~the G-2 of the~~
 General Staff, ^{ON} ~~but that~~ ^{been transferred to the}
 Chief Signal Officer and the Signal Corps in the
 year named. But the Chief Signal Officer had
 very little money to use for that purpose, and,
 besides that, the Army Regulation applicable
 thereto specifically ~~that~~ restricted cryptanalytic
 operations on foreign communications to war-
time. And, more to the point, was the fact that
 there was no material to work on even if
 funds were available, because ^{the Army} ~~we~~ had at
 that time no intercept stations whatever, anywhere
 in or outside the U.S. But that's another story and
 I'll proceed to the next point, which is that my
 memo to Major Akin produced results. Just a

half month after I wrote and put it in his "In" basket I got the machines moved from the Office of the Quartermaster General to my own warren in the Office of the Chief Signal Officer! That move must have been fortuitous magic.

Once having ~~proved~~ demonstrated their utility to the Chief Signal Officer the almost prematurely terminated contract with IBM was renewed — and soon expanded. I don't know how we could have managed without such machines during World War II. Here's a picture ^(Fig. 00) of one of two whole wings in one of our buildings at Arlington Hall filled with IBM machines — the biggest installation in the world at that time.

We built or had built for us by IBM and other concerns adaptors to work with standard IBM machines; we constructed or had constructed for us by commercial firms highly specialized cryptanalytic apparatus, machines, and complex assemblies of components. Under war-time pressures fantastic things were ac-

complished and many were the skills of grati-
 fying achievement. When things that ^{just} couldn't
 be done were done — and were of high importance
 in military, naval and air operations against the
 enemy.

Even were time available I couldn't show
 you pictures of some of the high-class gadgets we
 used, neither is it permissible to say more than
 I have already said about them, even though
 it is no longer a deep secret that electronic ~~the~~
 computers are ~~so~~ highly useful in cryptologic work.
 For example, here is a paragraph ^{Fig.} taken from a
 Russian book entitled
 and below is it ~~the~~ what it says in English.

To the layman the exploits of pro-
 fessional cryptanalysts, when those exploits
 come to light as, for example, in the various
 investigations of the attack on Pearl Harbor,
 are much more fascinating than those of
 cryptographers, whose achievements in their
 field appear to be dull or tedious to the
 layman. But long consideration of the ^{military} ~~total~~
 importance of ^{Cryptography and} communication security as against

that of cryptanalysis and communication intelligence has induced me to formulate what I shall immodestly call Friedman's Law. It is quite simply stated. ~~You may~~ ^{a commander} If you keep the cryptanalytic or COMINT face of your cryptologic coin bright and shiny, ^{he ~~has~~} stands a good chance of winning a battle ^{even if} forces are inferior in ^{size and} ability compared with those of his enemy; but if he ^{lets} the cryptographic or COMSEC face of ~~the coin~~ that coin become dull from neglect, ~~or~~ indifference, or carelessness, ^{almost} he will ~~certainly~~ lose a battle ^{even if} of his forces are superior in size and ability compared with those of his enemy.

With the foregoing statement of ^{an} ~~well considered~~ ^{distilling} opinion founded upon a half century's ^{study and experience in} devotion to cryptology as a profession, I bring this series of lectures to an undramatic ~~close~~ ^{hope,} but [^] meaningful close.

Don't forget
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